INITIAL ROUGHNESS AND RELAXATION BEHAVIOUR OF MBE GROWN ZnSe/GaAs

B. Buda, O. Leifeld, S. Völlmeke, F. Schmilgus, D.J. As, D. Schikora and K. Lischka

University of Paderborn, FB Physik, 33098 Paderborn, Germany

We investigated the GaAs/ZnSe interface and the influence of the Ga$_2$Se$_3$ formation at the GaAs/ZnSe interface on the relaxation of the ZnSe epilayer using reflection high-energy electron diffraction, atomic force microscope, photoluminescence, and X-ray diffraction techniques. An improvement of the surface roughness due to the cleaning of the GaAs substrate with hydrogen excited in a plasma source and a higher critical thickness of GaAs(001)/ZnSe due to the suppression of Ga$_2$Se$_3$ at the surface was observed.

PACS numbers: 68.55.—a

1. Introduction

A great deal of attention has been paid to the ZnSe/GaAs system after the recent demonstration of molecular beam epitaxy (MBE) grown laser and light emitting diode [1] structures operating in the blue-green region of the visible spectrum. A significant problem with this system, however, is the degeneration of the devices due to the formation of defects. Laser structures grown on GaAs contain a III–V/II–VI heterointerface. The structure of the interface plays a critical role in the formation of defects in the II–VI device. Therefore, research was focused on detailed studies of the interface structure. One problem is the roughness and the stoichiometry of the GaAs surface. Recent improvements of crystal quality were achieved by using a GaAs buffer layer or by deoxidizing the GaAs substrate with hydrogen plasma instead of the conventional thermal cleaning.

Another problem is the formation of Ga$_2$Se$_3$ at the interface due to the exchange of As and Se forced by different formation enthalpies [2]. Ga$_2$Se$_3$ causes an initial 3D island growth mode [3] which influences the generation of dislocations and the structural quality of the epilayer. Until now this influence is not fully understood.

In this paper we study the roughness of the GaAs substrate depending on the preparation procedure before growth. For the GaAs cleaning we used excited hydrogen from a plasma source and different substrate temperatures. We studied the effect on the structural quality of the ZnSe/GaAs epilayer. We also show a dependence of the relaxation behaviour of the ZnSe epilayer on the formation of Ga$_2$Se$_3$ at the heterointerface.
2. Experiments

The experiments were performed in an MBE system equipped with a reflection high-energy electron diffraction system (RHEED-system) and a rf (13.56 MHz) plasma discharge, free-radical source manufactured by Oxford Applied Research. The rf-source was used either as a nitrogen source for p-type doping of ZnSe or as a source for hydrogen radical beam to clean the GaAs substrate. The two gases were cleaned separately with purifiers to an order of 8N. To study the influence of the substrate preparation on the surface roughness and the crystal quality, epilayers of ZnSe with different thicknesses were grown at a growth temperature of 325°C. We employed a Se to Zn beam equivalent pressure ratio of 2.2. A series of 200 nm thick ZnSe epilayers were grown on epiready GaAs (001) substrates. One GaAs substrate was cleaned by conventional thermal treatment at 610°C, the other ones under hydrogen plasma at temperatures between 400°C and 590°C for about 10 min. All other GaAs substrates were cleaned at 400°C. The background pressure of hydrogen in the MBE system was about $5 \times 10^{-6}$ mbar and the power used to create the discharge was 450 W. To investigate the influence of the formation of Ga<sub>2</sub>Se<sub>3</sub> at the GaAs surface on the relaxation of the ZnSe epilayer, we cleaned the GaAs substrate by hydrogen with and without an additional Zn flux during deoxidation. The surfaces of the growing ZnSe layer was observed by RHEED and the FWHM of the specular spot perpendicular to the shadow edge was measured. The post growth surface roughness was determined by atomic force microscope (AFM) in contact mode. The X-ray diffraction measurements ((004)-reflex rocking curves) were performed with a Philips MRD diffractometer equipped with a four-crystal Bartels X-ray monochromator yielding a highly monochromatic ($\Delta \lambda/\lambda = 10^{-5}$) primary beam with low divergence (about 10 arcsec). The photoluminescence measurements were performed at a temperature of 2 K. The samples were excited by an HeCd-laser (325 nm) with an intensity of 0.25 W/cm$^2$.

3. Results and discussion

The crystal quality and the dislocation density in ZnSe epilayers depend on the roughness and stoichiometry of the GaAs substrate. From AFM measurements we find that the surface roughness of the growing epilayer is strongly correlated to the roughness of the GaAs substrate. Thus it is important to have an atomic smooth GaAs surface. By comparing the AFM roughness of thermally cleaned GaAs surfaces with those of substrates cleaned by excited hydrogen, we find an improvement of the surface smoothness by one order of magnitude. This result is confirmed by RHEED measurements. Because of the chemical reaction of hydrogen radicals with GaAs oxide and a higher vapour pressure of the resulting chemical products such as Ga–H, As–H and H$2$O, a lower processing temperature of about 400°C can be used for complete cleaning of the substrate. Due to the lower processing temperature, the As depletion is reduced and the GaAs surface is smoother on the atomic scale.

To confirm this result we investigated ZnSe epilayers of 200 nm thickness grown on GaAs substrates cleaned at various temperatures. We observed that
ZnSe layers grown on substrates cleaned by hydrogen at low temperatures reveal a smaller FWHM of X-ray rocking curves. The FWHM decreases from 900 arcsec for the thermally cleaned (610°C) GaAs to about 95 arcsec for GaAs cleaned at 400°C. These results are confirmed by photoluminescence measurements.

Figure 1 shows the photoluminescence spectra of the thermally deoxidized and the hydrogen treated samples. A clear decrease in the defect related luminescence intensity (SA and Y-line) relative to the free exciton intensity is seen for the hydrogen treated samples with decreasing cleaning temperature. Another reason for a high dislocation density in the ZnSe layer may be the formation of Ga$_2$Se$_3$ at the GaAs/ZnSe interface. The formation of cubic Ga$_2$Se$_3$ is forced by the exchange of As and Se at the GaAs surface. Ga$_2$Se$_3$ at the surface can be detected by RHEED because of its (2 $\times$ 1) reconstruction [4].

![Photoluminescence spectra](image)

Fig. 1. Photoluminescence spectra of 200 nm thick ZnSe epilayers grown on GaAs(001) substrates thermally cleaned at $T_{\text{deox.}} = 610^\circ C$ and H$_2$-plasma treated at various temperatures.

When the GaAs substrate is cleaned by hydrogen under Zn-partial pressure, the formation of Ga$_2$Se$_3$ on the surface is suppressed as shown in Fig. 2. RHEED patterns indicate that ZnSe grow in a 3D island growth mode when the initial GaAs surface shows a clear (2 $\times$ 1) surface reconstruction. However, a 2D growth mode is observed already after a few seconds when ZnSe growth starts on a nearly unreconstructed GaAs surface.

Figure 3 shows the FWHM of the specular spot RHEED reflex measured perpendicular to the shadow edge as a function of the ZnSe layer thickness. The observed pronounced increase in the FWHM is due to the onset of the lattice relaxation. A critical thickness of 225 nm was observed for layers with a 3D nucleation in agreement with results from other groups [5]. In case of a nearly 2D initial growth mode a critical thickness $h_c$ of 280 nm was measured. Using transmission electron microscopy (TEM), it has been shown in Ref. [6] that the dominant defect configuration is different in the samples with and without the formation of
The different activation energies of these defects may lead to different critical thicknesses. Another reason for the variation of $h_c$ may be the different lattice constants of GaAs (5.65 Å) and Ga$_2$Se$_3$ (5.43 Å) [4] yielding a decreased lattice misfit between the substrate and the ZnSe layer when the formation of a Ga$_2$Se$_3$ interface layer is suppressed.

4. Conclusion

We found an improved crystalline quality of ZnSe epilayer when excited hydrogen is used to clean the GaAs surface. We also found that the roughness of the growing surface is mainly determined by the roughness of the GaAs substrate. Further we detected an increase in the critical thickness due to the possible suppression of Ga$_2$Se$_3$ formation at the interface.
Acknowledgments

This work was supported by “Deutsche Forschungsgemeinschaft” (DFG).

References