

# MODULATIONAL INSTABILITY OF NONLINEAR EXPONENTIAL SCHRÖDINGER WAVES

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Modulational instability of a plane wave of the nonlinear Schrödinger equation is discussed numerically on the basis of the pseudo-spectral method. The linear theory is verified and influence of the attenuation is considered.

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## 1. Introduction

One-dimensional propagation of nonlinear waves has been extensively studied both experimentally and theoretically in many branches of physics. Especially, the nonlinear Schrödinger equation (NS, henceforth) has attracted much attention [1]. This equation describes wave propagation in weakly nonlinear and strongly dispersive media and is valid for small amplitude waves. A generalization of the theory for arbitrary amplitude waves leads to the exponential nonlinear Schrödinger equation (ENS, hereafter)

$$iu_t + \alpha u_{xx} + \beta[1 - \exp(-|u|^2)]u + i\epsilon u = 0, \quad (1)$$

where the indices  $x$  and  $t$  denote partial derivatives,  $\alpha$ ,  $\beta$ , and  $\epsilon$  are the dispersive, nonlinear, and attenuation coefficients, respectively. In the case of  $\epsilon \neq 0$ , Eq. (1) may describe propagation of nonlinear pulses in optical fibers with an attenuation [2] or Langmuir plasma waves with linear Landau damping [3]. This equation has been introduced recently to nonlinear plasma physics by D'Evelyn and Morales [4], Kaw et al. [5], Sheerin and Ong [6] and to nonlinear optics by Murawski and Koper [7].

In this paper we consider modulational stability of the ENS equation. It relies on a process in which perturbations from a plane wave grow as a result of an interaction between Fourier modes and can be interpreted in terms of a

four-wave-mixing process [8]. A good physical interpretation has been presented as well by McKinstrie and Luther [9].

The modulational stability has been studied in many areas of physics [8–11]. In particular, the modulational stability of the modified nonlinear Schrödinger equation has been discussed by Parkes [10]. The modulational instability of a continuous wave (cw) optical signal in a glass fiber combined with an externally modulated amplitude modulation can be used to create a train of optical solitons [12, 13] with a high repetition rate. It exceeds 0.3 THz and is tunable, whereas the highest repetition rate, obtained from direct modulation of light electronically, is about 20 GHz [12]. Due to high cost of construction of the soliton laser [14] by which quite substantial power is needed to produce a soliton [15] this way of creation of the solitons is very important. Additionally, cross-phase modulation stability in birefringent optical fibers has been discussed e.g. by Agrawal [16] and Menyuk [17] to show that the presence of the second wave enhances the instability region. See McKinstrie and Bingham [11] as well for a similar discussion. The nonlinear behaviour of the modulational instability of nearly constant amplitude derivative nonlinear Schrödinger waves has been discussed by Fla [18] to show a recurrent behaviour. A review is given by McKinstrie and Luther [9] of the modulational instability of the NS and coupled NS waves. The amplitude ripples (which grow along the fiber) that are created on the higher-order soliton ( $N \geq 58$ ) can be regarded as modulational instability [19] of the NS equation. The third-order dispersion, the self-induced Raman effect and derivative term (suitable for long fibers) are considered to enhance the modulation instability region [19]. This process, however, is more related with the stability of stationary wave solutions and the solitons has been used instead of a cw wave to suppress the induced Brillouin scattering (see e.g. [20]).

In the past, the studies of modulational stability usually concerned small amplitude perturbations (although see Goldstein and Rozmus [21]) which allowed a linear dispersion relation to be derived and hence regions of stability to be determined. In a case of arbitrary amplitudes the linear theory fails and we must take into consideration nonlinear terms for the perturbations. One of the possible approaches will be presented in the third section of the paper. Numerical results and conclusions will be shown in the final section.

## 2. Linear theory

Equation (1) with  $\varepsilon = 0$  possesses a plane wave solution [7]

$$u(x, t) = u_0 \exp[i(bx - at)], \quad (2)$$

where  $u_0$  is an arbitrary constant and

$$a - \alpha b^2 = -\beta[1 - \exp(-u_0^2)]. \quad (3)$$

Superimposing small amplitude disturbances upon the state  $u_0$ ,

$$u = [u_0 + \delta u \exp(-i(kx - \omega t))] \exp[i(bx - at)], \quad (4)$$

we find that an oscillatory instability exists for  $\alpha\beta > 0$  and

$$k^2 \leq k_c^2 \equiv 2\beta u_0^2 \exp(-u_0^2)/\alpha. \quad (5)$$

Otherwise we have got stabilities.

### 3. Nonlinear theory

To test the modulational instability by a nonlinear way, Eq. (1) is solved numerically with the initial condition,

$$u(x, t = 0) = u_0 + A_d \sin(kx), \quad (6)$$

using the original code adapting the pseudospectral method (e.g. [22]). By that purpose Eq. (1) is discretized as follows

$$u^{n+1} = u^{n-1} + 2\Delta t \{i\alpha F^{-1}(-m^2 F u^n) + i\beta[1 - \exp(-|u^n|^2)]u^n - \varepsilon u^n\}, \quad (7)$$

where  $F$  is the Fourier transform operator,  $m$  and  $n$  denote the Fourier mode and time  $t = n\Delta t$ , respectively.

It is useful to mention here that various pseudospectral methods have been reviewed by Nouri and Sloan [23]. In the runs reported the total number of Fourier modes was 64 and the length of the simulation region equals to one or two periods of the perturbation wave. Numerically obtained results have been verified by doubling the number of Fourier modes and halving the time step until no significant changes appeared.

### 4. Numerical results and conclusions

In this section it is our intention to study numerically the nonlinear behavior of modulated ENS waves. All numerical results will be presented for  $\alpha = \pm 1/2$  and  $\beta = 1$ . To verify the linear theory (see formula (5)) we will run cases both for linearly stable and unstable perturbances.

#### 4.1. Positive dispersion

Figures 1a and 1b show the development of modulated waves with an amplitude  $u_0 = 0.1$ . For  $A_d = 0.08$  and  $k = k_c/2$ , creation of humps, who may be interpreted as dressed bright solitary waves, is observed (Fig. 1a). (For the NS equation such solitary waves have been noticed by Hasegawa [13].) One obtains a series of modulation and demodulation cycles known as recurrence phenomena. Unstable periodic modulations grew to maximum at time  $t \approx 80$  and then subsided until the wave train actually becomes nearly uniform again at  $t \approx 160$ . Thus, a recurrent time is approximately 160. Qualitatively different behaviour is presented in Fig. 1b. For  $A_d = 0.08$  and  $k = 3k_c/2$  waves are found to be linearly stable. Indeed, during a time  $t = 100$  no sign of instability has been noticed but a phase change only.

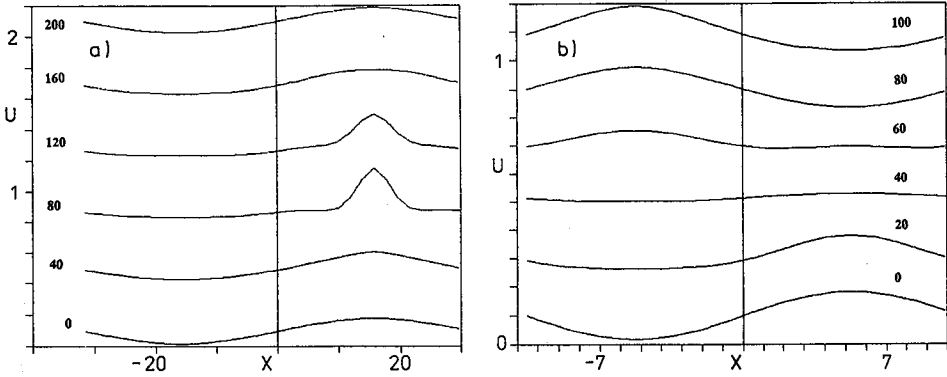


Fig. 1. Profiles of modulation envelope  $|u|$  for  $\alpha = 1/2$ ,  $\beta = 1$ ,  $\epsilon = 0$ ,  $u_0 = 0.1$ ,  $A_d = 0.08$ , and a)  $k = k_c/2$  and b)  $k = 3k_c/2$ . Numbers at the curves correspond to different values of time.

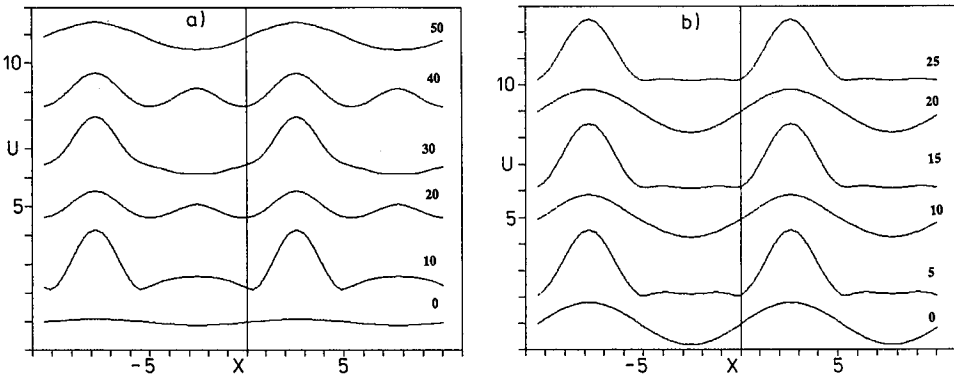


Fig. 2. Profiles of modulation envelope  $|u|$  for  $\alpha = 1/2$ ,  $\beta = 1$ ,  $\epsilon = 0$ ,  $u_0 = 1$ ,  $k = k_c/2$ , and a)  $A_d = 0.1$  and b)  $A_d = 0.8$ .

Figures 2a and 2b demonstrate how the modulational instability develop for waves with unit amplitude. These cases both show a recurrent behaviour with the periodic modulations growing, reaching a maximum and then decreasing to a more or less demodulated state after a finite time. For  $A_d = 0.1$  and  $k = k_c/2$ , more complex recurrent behaviour has been observed (Fig. 2a). Namely, the solitary wave state at  $t = 10$  is almost recovered at  $t = 30$ . Similar behaviour happens for states at  $t = 20$  and  $40$ . The recurrence time is about 20. Finally at  $t \approx 50$  the wavetrain becomes uniform again (Fig. 2a). For larger amplitude of disturbances the recurrence time is smaller, e.g. for  $A_d = 0.8$  it is about 10 (Fig. 2b).

In Figure 3 we have tested the influence of an attenuation and long wavelength perturbations on the cw waves. In all cases no signs of recurrent behaviour

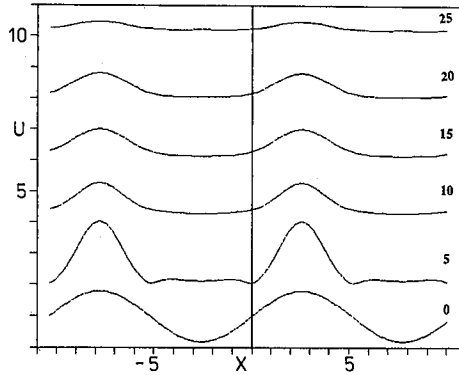


Fig. 3. Panoramic view of modulation envelope  $|u|$  for  $u_0 = 1$  and  $A_d = 0.8$ ,  $k = k_c/2$ ,  $\alpha = 1/2$ ,  $\beta = 1$ ,  $\epsilon = 0.0518$ .

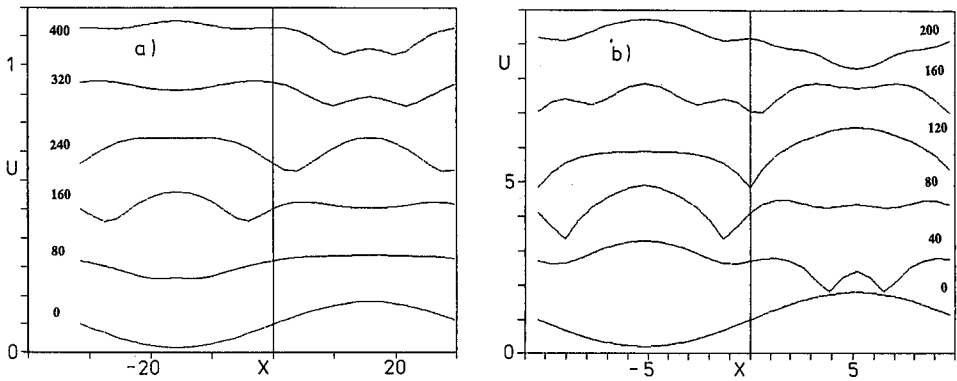


Fig. 4. Panoramic view of modulation envelope  $|u|$  for  $\alpha = -1/2$ ,  $\beta = 1$ ,  $\epsilon = 0$  and a)  $u_0 = 0.1$ ,  $A_d = 0.08$ ,  $k = k_c/2$ ; b)  $u_0 = 1$ ,  $A_d = 0.8$ ,  $k = k_c/4$ .

have been observed. Even a small attenuation,  $\epsilon = 0.0518$ , caused the recurrence to be completely degraded (Fig. 3). Although the solitary waves are created at  $t = 5$ , the wavetrain finally subsided.

In conclusion, the linear theory of the modulational stability of the cw waves has a very limited range of validity. It gives relatively correct results for small amplitude waves and short times only.

#### 4.2. Negative dispersion

Figures 4a and 4b show some examples of how the wave modulations evolve for the negative value of dispersive coefficient  $\alpha = -1/2$  and  $\beta = 1$ . To our knowledge it has not been done for any other equation. For  $u_0 = 0.1$ ,  $A_d = 0.08$ ,

and  $k = k_c/2$  deep modulation develop at  $t = 160$  creating dark solitary wave (Fig. 4a). (Here,  $k_c$  is calculated from the formula (5) taken with a positive right hand side) Despite linearly stable waves we observe nonlinear instability. No recurrence phenomena have been noticed until time  $t = 400$ . Instead, two dark solitary waves move with different velocities to attract each other in a final stage at  $t \approx 360$ . Similar behaviour happens for  $u_0 = 1$ ,  $A_d = 0.8$ , and  $k = k_c/4$  (Fig. 4b). The only difference is that for larger amplitude modulations the dark solitary waves are created faster.

In conclusion, although the linear theory predicts modulational stability of waves in a case of negative value of dispersive coefficient  $\alpha$ , oppositely the nonlinear theory finds that after some time modulated wavetrains develop into the dark solitary waves state. This time is larger for smaller amplitudes perturbations.

It is well known that the NS equation possesses the dark solitary waves solutions (see e.g. [24]). But to our knowledge, the dark solitary waves have not been reported yet for the ENS equation. We have thus proven their existence.

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