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Nanostructure Features, Phase Relationships and Thermoelectric Properties of Melt-Spun and Spark-Plasma-Sintered Skutterudites

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Reduction of thermal conductivity remains a main approach relevant to enhancement of figure-of-merit of most thermoelectric materials. Melt spinning combined with spark plasma sintering appears to be a vital route towards fine-grain skutterudites with improved thermoelectric performance. However, upon high-temperature processing the Fe_{4-x}Co_xSb₁₂-based skutterudites are prone to decompose into multiple phases, which deteriorate their thermoelectric performance. In this study we addressed the effects of combined melt spinning and spark plasma sintering on the phase composition and microstructural properties of filled Fe_{4-x}Co_xSb₁₂ as well as their influence on thermoelectric characteristics of these compounds. The crystallites of filled Fe_{4-x}Co_xSb₁₂ were effectively reduced to sizes below 100 nm upon melt spinning, but also severe decomposition with weakly preserved nominal phase was observed. Spark plasma sintering of melt spun skutterudites resulted in even further reduction of crystallites. Upon short annealing and sintering the *n*-type materials easily restored into single-phase filled CoSb₃ with nanoscale features preserved, while secondary phases of FeSb₂ and Sb remained in *p*-type compounds. Relatively high figure-of-merit ZT_{max} of 0.9 at $T\approx 400\,^{\circ}$ C has been gained in nanostructured Yb_xCo₄Sb₁₂, however, no significant reduction of thermal conductivity was observed. Abundant impurities in *p*-type filled Fe_{4-x}Co_xSb₁₂ led to drastic drop in their ZT, which even further degraded upon thermal cycling.

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1. Introduction

Filled skutterudites with a general chemical formula $R_{\nu}TM_{4}Pn_{12}$, where R stands for filler atoms (usually rare-earths), TM is transition metal (Fe, Co, Ni), and Pn are pnictogens (Sb, As, P), belong to a class of high performance thermoelectric (TE) materials fulfilling the phonon-glass-electron-crystal concept [1]. Heavy filler atoms, inserted in the large voids of skutterudite unit cell, are weakly bound to a host structure and act as Einstein-like rattlers to effectively hinder the propagation of heat carrying phonons [2, 3]. Thermal transport in $R_{\nu}TM_{4}Pn_{12}$ is, thus, significantly reduced, which is a major prerequisite to their high figure-of-merit ZT. The latter can be further improved by nanostructuring, e.g. developed grain boundaries would enhance the scattering of phonons. A method based on melt spinning (MS, Fig. 1) combined with spark plasma sintering (SPS) enables the fine-grain nanostructure of TE materials and appears to be a viable route towards high-ZT skutterudites [4, 5]. Hitherto, the most studied and practically implemented in thermoelectric converters were $R_u Fe_{4-x} Co_x Sb_{12}$ skutterudites ($x \leq 3.2$ results in p-type conductivity). However, their thermochemical stability remains a concern as upon heating they undergo peritectic decomposition yet in the temperature range of maximum TE efficiency. This stability is determined by the competition between formation of filled skutterudite and lower binary phases, which in turn depends, in particular, on the nature and fraction of filler atoms, Fe/Co ratio, and synthesis and post-processing parameters [2, 6–8]. For instance, due to charge imbalance $(4s^23d^6$ for Fe vs. $4s^23d^7$ for Co) the $Fe_{4-x}Co_xSb_{12}$ phase is metastable and easily decomposes upon heating. Full filling of lattice voids (1/4 R per Fe) with tetravalent electropositive atoms could compensate that imbalance, but in fact the rare-earth atoms in $R_y Fe_{4-x} Co_x Sb_{12}$ attain only trivalent state; besides, their solubility rapidly diminishes with increasing Co fraction [2]. It appears that only partially filled and, thus, subject to decompose $R_y Fe_{4-x} Co_x Sb_{12}$ can be fabricated. In this concern, the present research aimed on the study of the effect of high-temperature post-processing, such as MS and SPS, on the development of nanostructural features and secondary phases in $\mathrm{Fe}_{4-x}\mathrm{Co}_{x}\mathrm{Sb}_{12}$ -based filled skutterudites and on the assessment of the effect of said features, decomposition and treatment on their thermoelectric properties.

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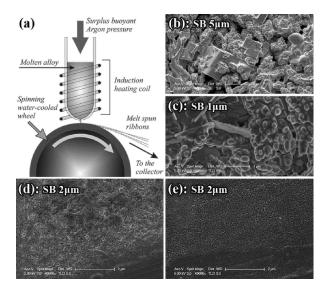


Fig. 1. Schematic view of MS technique (a) and SEM micrographs of nanoscale features in as-spun $Ce_{0.85}Fe_{3.5}Co_{0.5}Sb_{12}$ ribbons on free (b, c) and contact (d, e) surfaces. SB stands for scale bar size.

2. Experimental details

Elemental In (99.999% purity), Ce and Yb (both >99%) fillers were mixed with Co (>99.95%), Fe (>99.5%) and Sb (99.999%) in stoichiometric ratios and sealed in carbon coated quartz tubes for synthesis of filled skutterudites using traditional melting-quenchingannealing (MQA) approach. For p-types, prior to MQA, the Ce, Co, and Fe were alloyed by induction melting and pulverized in order to homogenize the compound and avoid extensive Ce+Sb reactions. After melting for 96 h and quenching the ingots were ground into fine powders by ball milling, annealed for another 96 h, and then milled again. Both melting (T_m) and annealing (T_a) temperatures were 650 °C, but for $Yb_yCo_4Sb_{12}$ the T_m was 750 °C. The treatment conditions were based on the reported phase equilibria studies in R-Fe-Co-Sb systems: existence of stable single phases of $Yb_uCo_4Sb_{12}$ and $In_u Co_4 Sb_{12}$ with maximum filling ratio within 773– 1073 K [8] and 748–973 K [9], respectively, and formation of $R_y Fe_{4-x} Co_x Sb_{12}$ within 898-973 K [10, 11]. We used also p-type precursor $\mathrm{Mm}_{0.9}\mathrm{Fe}_3\mathrm{CoSb}_{12}$ nanopowders from commercial supplier (Matres scrl, Italy; Mm stands for misch-metal, a Ce:La mixture). Finally, pulverized skutterudites were consolidated into $\phi 12$ mm pellets by SPS (Dr.Sinter 515S) under primary vacuum (6 Pa) at sintering temperature $T_s = 620\,{\rm ^{\circ}C}$, uniaxial pressure of 50 MPa and soaking time of 5–10 min. For nanostructuring the skutterudite samples were melt-The starting batch was induction melted in quartz tube with a $\emptyset 0.5$ mm nozzle on its tip and, once completely liquefied, ejected onto the spinning watercooled copper wheel by applying the surplus Ar pressure at the tube opening (Fig. 1a). The temperature of melt was pyrometer-controlled, its saturation indicated in completely liquid batch. For n-types T_m exceeded 1000–1100 °C, while for Fe-substituted compounds it was 700–1000 °C. Rapid quenching upon MS favored non-equilibrium solidification of liquid in a form of thin ribbons with developed nanoscale features (Fig. 1b–e). The as-spun ribbons were sintered in SPS with an intermediary step of annealing at $T_a < T_s$.

The developed samples were characterized by powder X-ray diffraction (PXRD, Bruker D8 Advance, Cu K_{α_1} radiation) and scanning electron microscope (SEM, Philips XL30 SFEG). The Rietveld refinement of PXRD curves and the Williamson-Hall (WH) plot method were used for evaluation of crystallographic parameters, phase composition, microstrain and crystallite dimensions (reliabilities of fit, reduced χ^2 , did not exceed 5.0, and for WH the uncertainty did not exceed 6%). NBS Silicon-1 standard was used for accounting of instrumental broadening of XRD peaks. Electrical conductivity $\sigma(T)$ and thermopower S(T) of $2\times2\times12$ mm³ skutterudite samples were measured by a four-probe method realized in Ulvac Riko ZEM-3 setup. Also 1.2 mm thick \emptyset 12 mm disks were cut for measurements of thermal diffusivity $\alpha(T)$ by means of laser flash method (Netzsch LFA 427). Total thermal conductivity $\kappa(T)$ was then derived

$$\kappa(T) = \alpha(T)c_p d,$$

where c_p is the Dulong–Petit law constrained heat capacity and d is the experimental density of sintered ingots.

3. Results and discussion

3.1. Effects of SPS and melt-spinning on microstructure and phase composition of $R_y Fe_{4-x} Co_x Sb_{12}$

Nearly perfect polycrystalline skutterudite phase (96.0-99.2 wt%) formed in all traditionally, i.e. MQA, synthesized $R_y Fe_{4-x} Co_x Sb_{12}$ (Fig. 2, Table I). Upon SPS the p-type compounds decomposed into $R_y Fe_{4-x} Co_x Sb_{12}$ and secondary phases of FeSb₂ (9-12%) and pure Sb (1.8-5.0%), while in Fe-free n-types the nominal phase even improved, before and after SPS yielding impurities of InSb (2.1% vs. 0.6%, respectively), In_2O_3 (1.7% vs. 1.3%) for $In_{0.4}Co_4Sb_{12}$, and Sb (1.0-1.5%), Yb_2O_3 and $YbSb_2$ for $Yb_{0.2}Co_4Sb_{12}$. Spark plasma sintering also featured structural changes of skutterudites, namely, reduction of lattice constant and average crystallite dimensions, and development of grain internal microstrain.

Melt spinning of $R_y Fe_{4-x} Co_x Sb_{12}$ resulted in solidification of thin multiphase (Fig. 3) ribbons with developed nanoscale features of various morphologies (Figs. 1b–e). In n-type skutterudites the detected phases were nominal $R_y Co_4 Sb_{12}$ (Table I), prevailing $CoSb_2$ (up to 51.6% and 33.8% for $In_y Co_4 Sb_{12}$ and $Yb_y Co_4 Sb_{12}$, respectively) and Sb (23% and 14.7%), CoSb (17.4% and 9.3%) and possible minor RSb_x . Up to 58.2% and 39% of $FeSb_2$, 24.6% and 13.4% of pure Sb, and 16.4% and

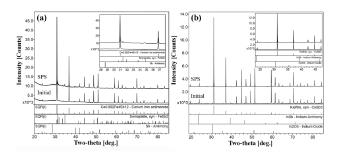


Fig. 2. Typical X-ray diffractograms of p-type ((a), $Ce_{0.85}Fe_{3.5}Co_{0.5}Sb_{12}$) and n-type ((b), $In_{0.4}Co_4Sb_{12}$) skutterudites before and after SPS processing.

13.7% FeSb phases have been detected in melt spun ptype $Ce_{\nu}Fe_{4-x}Co_{x}Sb_{12}$ and Mm-filled $Fe_{4-x}Co_{x}Sb_{12}$, respectively. Higher amounts of skutterudite phase were detected at contact surfaces of both n- and p-type MS ribbons, and more of secondary phases were inherent for free surfaces, which is obviously a consequence of nonuniform cooling rates over the ribbon thickness. Interestingly, in $Ce_{0.85}Fe_{3.5}Co_{0.5}Sb_{12}$, melt-spun at 703 °C (MS2) in Fig. 3a), only minor formation of $FeSb_x$ has been discovered, while 65% of skutterudite phase was maintained along with 24% of Sb. This is explained by the vicinity of T_m to peritectic decomposition point and assumes that such decomposition onsets with abundant Sb dissociation followed by precipitation of FeSb₂. The temperature of peritectic decomposition for $R_{\nu}Fe_{4-x}Co_{x}Sb_{12}$ is in the range of 600-850 °C, depending on the actual composition, and tends to decrease with cobalt content [11–13].

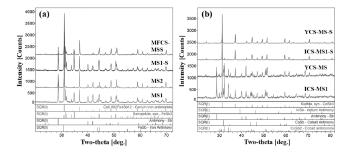


Fig. 3. X-ray diffractograms of melt-spun skutterudite samples: (a) $Ce_{0.85}Fe_{3.5}Co_{0.5}Sb_{12}$ (MS1 — $T_m > 810$ °C, MS2 — $T_m = 703$ °C, and MS1-S after SPS) and $Mm_{0.9}Fe_3CoSb_{12}$ (MFCS-MSS — after SPS); (b) $In_{0.4}Co_4Sb_{12}$ (before, ICS-MS1, and after SPS, ICS-MS1-S) and Yb_{0.3}Co₄Sb₁₂ (before and after SPS, respectively).

According to both the XRD and SEM-EDS (energy-dispersive X-ray spectroscopy) studies, MS favored the substantial reduction of average dimensions of the nominal phase crystallites to sub-100 nm range (Table I, Fig. 1d–e). EDS confirmed the presence of all con-

stituents of $R_y Fe_{4-x} Co_x Sb_{12}$ in MS ribbons and a skutterudite phase predominantly solidified on their contact surface. The free surface contained nanoscale features of various morphologies (Fig. 1b–c for p-types) with roughly $Fe_{1-x} Co_x Sb_2$ principal composition of "grapelike" agglomerations, in which "bubbles" were closer to (Fe/Co)Sb, and nanoflakes majorly composed of Sb. Larger micron-scale cubes revealed a Ce-filled skutterudite phase, depleted in cerium and Sb rich.

Developed grain boundaries in the MS skutterudite ribbons favored fast solid-state diffusion resulting in rapid phase transformations and strong healing effect upon just short time spark plasma sintering. SPS of ntype R_y Co₄Sb₁₂ with a 10 min step of annealing at 400 °C followed by 5 min long sintering at 620 °C yielded 88.2% skutterudite phase along with 11% of CoSb₂ and 0.8% Sb in $In_{0.4}Co_4Sb_{12}$ (MS1). The increase of T_a to 560 °C resulted in higher ratio of phase transformations with only 8.4% of $CoSb_2$ remaining in $In_{0.4}Co_4Sb_{12}$ (MS2). At optimized SPS parameters (10 min annealing at 580 °C and 5 min sintering at 620 °C) single skutterudite phase formed in n-type MS skutterudites, e.g. in Yb_{0.3}Co₄Sb₁₂ (Table I). Worth noting, the trend towards diminishing of the average crystallite dimensions and development of structural microstrain, accompanied by decrease of lattice parameter, holds also for SPS of melt-spun skutterudites. In principle, a_{300K} of filled skutterudites should obey the Vegard law, i.e. it should increase with the filling fraction linearly [8, 9]. Likewise, the lattice would expand with iron content, and multiple filling should lead to further expansion of the unit cell due to variation in atomic radii of constituent elements. As follows from Table I, this trend is not evident. Refinement of atomic occupancies for two reference samples, In_{0.4}Co₄Sb₁₂ and Ce_{0.85}Fe_{3.5}Co_{0.5}Sb₁₂, has shown the actual composition ${\rm different}\; ({\rm In}_{0.15}{\rm Co_4Sb_{11.93}\; and \; Ce_{0.82}Fe_{3.48}Co_{0.52}Sb_{11.95}},$ respectively) from that nominal, however, it did not change or even improved upon SPS ($In_{0.16}Co_4Sb_{12.11}$ and $Ce_{0.83}Fe_{3.48}Co_{0.52}Sb_{12.00}$). Thus, the observed variations of lattice constants and satellite microstrains along with crystallites reduction are, likely, related to peculiar features of SPS technique (e.g., high-energy pulses and high isostatic pressures), which have to be yet clarified. One should, however, not exclude the effect of skutterudite phase decomposition upon melt-spinning.

In general, SPS seems to favor the development and preserving of the nanostructure of melt spun skutterudites, as well as improvement of their phase compositions. For instance, out of the above trend, in $\rm In_{0.4}Co_4Sb_{12}$ the unit cell parameter greatly increased, suggesting nearly full occupancy of the lattice voids, that approaches solubility limit for indium in $\rm CoSb_3$ matrix [14], though nominal content of In was higher. Presumably the residuals of indium in the form of $\rm In_2O_3$ were ejected from the melt during MS, while phase reconfiguration between InSb and $\rm In_yCo_4Sb_{12}$ occurred. On the other hand, substitution of Sb for In could have taken place [9, 14–15]. Assuming the $\rm In_yCo_4(Sb_{1-z}In_z)_{12}$ model, i.e. In atoms share

24c Wyckoff positions with Sb, the Rietveld refinement for MS2 sample after SPS yielded actual composition of $In_{0.25}Co_4Sb_{11.66}In_{0.18}$, which is fairly consistent with the nominal composition of starting material and empiric dependence of a_{300K} on In-content, reported in [9]. Obviously, the healing effect of short MS-SPS processing led to reconfiguration of nominal phase in n-type materials. This is clear for $Yb_{0.3}Co_4Sb_{12}$ compound, which has been

MQA-synthesized at, likely, insufficient T_m (750 °C), so that Yb weakly inserted in the voids (a_{300K} comparable to pure unfilled CoSb₃ [1]). After MS the lattice parameter for this compound greatly increased suggesting improved filling of lattice voids. Improvement of skutterudite phase in MS-SPS Yb_{0.3}Co₄Sb₁₂ is evidenced also by optimized thermoelectric properties, discussed below.

TABLE I

69.1

Nominal compositions and X-ray characteristics of $R_y Fe_{4-x} Co_x Sb_{12}$ samples.

9.1140(3)

Before SPS After SPS Composition $a_{300K} \, [\text{Å}]$ n [%]r [nm] ε [%] $a_{300K} [A]$ r [nm] ε [%] n [%] $In_{0.4}Co_4Sb_{12}$ 9.0476(1)98.2 9.0485(1)162.4(2.0)0.046(1)96.3100.5(0.4)0.078(1) $In_{0.4}Co_4Sb_{12}$ (MS1) 0.192(6)9.0443(4)62.1(1.8)22.3 9.0513(2)47.5(0.5)0.221(2)88.2 $In_{0.4}Co_4Sb_{12}$ (MS2) 9.0425(6)107.0(3.1)9.0536(2)49.9(0.4)0.207(2)n/a 9.491.6 $Yb_{0.3}Co_4Sb_{12}$ 9.0350(1)95.5(0.4)0.096(1)96.89.0370(2)71.6(0.4)0.202(2)96.6 $Yb_{0.3}Co_4Sb_{12}$ (MS) 9.0475(6)41.1(1.1)0.200(5)37.6 9.0426(2)78.5(0.6)0.189(2)99.2 $Yb_{0.2}Co_4Sb_{12}$ 9.0422(2)9.0432(1)83.2(0.4)0.086(1)97.4 63.1(0.7)0.151(2)99.0 $Ce_{0.85}Fe_{3.5}Co_{0.5}Sb_{12}$ 9.1248(1)78.6(0.5)9.1259(1)106.9(0.5)0.083(1)99.20.099(1)87.2 $Ce_{0.85}Fe_{3.5}Co_{0.5}Sb_{12}$ (MS1) 9.1270(5)47.0(1.2)0.174(5)31.0 9.1250(3)36.1(0.6)0.240(4)40.8 $Ce_{0.85}Fe_{3.5}Co_{0.5}Sb_{12}$ (MS2) 9.1252(2)57.4(1.0)0.140(3)64.9 $Mm_{0.9}Fe_3CoSb_{12}$ 9.1140(5)43.7(0.6)0.236(3)84.8

Here a_{300K} is the lattice parameter, r is the crystallite average dimension, ε is internal microstrain, and n is the amount of nominal phase. Values in parentheses stand for uncertainty (consider last digits for lattice parameters and microstrains).

70.5(1.8)

0.146(4)

36.5

9.1075(2)

Though phase transformations took place also during SPS of p-type $R_y Fe_{4-x} Co_x Sb_{12}$ MS ribbons, the nominal phase has not been successfully healed in them. The amount of both $R_y Fe_{4-x} Co_x Sb_{12}$ and FeSb₂ improved at an expense of free Sb and FeSb, but the final content of nominal phase did not exceed 69% even at optimized SPS conditions (MFCS-MSS in Fig. 3a). Except for $Mm_{0.9}Fe_3CoSb_{12}$, the lattice parameters for MS-SPS p-types remained unchanged as compared to traditionally synthesized $R_y Fe_{4-x} Co_x Sb_{12}$, implying same reconfiguration of skutterudite phase, as was observed for n-type compounds.

 $Mm_{0.9}Fe_3CoSb_{12}$ (MS)

3.2. Thermoelectric properties of melt-spun and traditionally synthesized $R_y Fe_{4-x} Co_x Sb_{12}$

Comparison of thermoelectric properties for MS and traditionally synthesized skutterudites is presented in Fig. 4. Unfortunately, nanostructuring did not lead to a desired reduction in total thermal conductivity of n- and p-type $R_yFe_{4-x}Co_xSb_{12}$. Values and temperature dependences of $\kappa(T)$ for MS Yb_{0.3}Co₄Sb₁₂ and In_{0.4}Co₄Sb₁₂ are comparable to those of traditionally processed n-type $R_yFe_{4-x}Co_xSb_{12}$, while $\kappa(T)$ of MS-SPS Mm_{0.9}Fe₃CoSb₁₂ was even 30% higher than that for its non-MS counterparts of comparable chemical composition. Obviously, abundant formation of secondary phases in MS-SPS Mm_{0.9}Fe₃CoSb₁₂ resulted in much lower Seebeck coefficient (thermopower) and higher resistivity, yielding in total very low ZT_{max} of ≈ 0.12 . Upon

thermal cycling (second run) its thermoelectric properties further deteriorated. Meanwhile, we detected only minor degradation of thermoelectric performances upon successive measurements for traditionally synthesized ptype skutterudites. Hence, the MS-SPS process for highefficiency p-type thermoelectric skutterudites would require substantial optimization in order to minimize the effect of, likely, inevitable secondary phases on their thermoelectric properties. On the other hand, healing effect of MS-SPS processing is clearly evidenced in Ybfilled n-type skutterudites. Due to not optimal synthesis conditions the actual filling ratio in as-synthesized Yb_{0.3}Co₄Sb₁₂ was very small, which resulted in structural, transport and thermoelectric properties comparable to those inherent for unfilled CoSb₃ [1]. For comparison, structural data and temperature dependences of transport properties for another properly synthesized $Yb_{0.2}Co_4Sb_{12}$ (traditional MQA, $T_m = 1150$ °C for 3 h, $T_a = 650$ °C for 168 h; the studies beyond the focus of this paper) are appended in Table I and Fig. 4. For both non-MS samples a semiconductor-like behavior of $\rho(T)$ was inherent. Meanwhile, after MS-SPS the lattice constant of Yb_{0.3}Co₄Sb₁₂ was comparable to that of traditional Yb_{0.2}Co₄Sb₁₂, suggesting similar filling ratios. Furthermore, thermoelectric performances of MS-SPS sample were much optimized as compared to traditional Yb_{0.2}Co₄Sb₁₂, resulting in low values and metalliclike behavior of resistivity, while attaining the same high values of thermopower. Despite the relatively high total thermal conductivity, substantial improvement of

46.0(0.8)

0.197(3)

ZT has been achieved in it, yielding ZT_{max} of 0.9 at $T \approx 400$ °C. Thus, combined MS-SPS process appears to be a fast and reliable route towards high-quality and high-performance thermoelectric skutterudites, provided that optimum doping and process conditions are chosen.

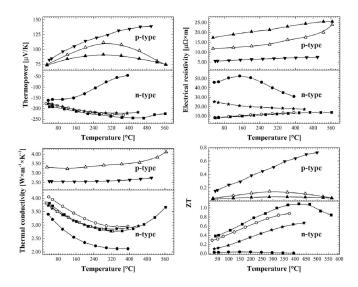


Fig. 4. Comparison of thermoelectric and transport properties of MS-SPS (open symbols) and traditionally (solid symbols) synthesized $R_y Fe_{4-x} Co_x Sb_{12}$: squares — $In_{0.4}Co_4Sb_{12}$, circles — $Yb_{0.3}Co_4Sb_{12}$ (thermal conductivity values for non-MS are multiplied by 0.5 to fit in plot), stars —traditional optimized MQA-SPS $Yb_{0.2}Co_4Sb_{12}$, up-triangles — MS $Mm_{0.9}Fe_3CoSb_{12}$ after first (open) and second (solid) cycle, down-triangles — traditional MQA-SPS $Ce_{0.85}Fe_{3.5}Co_{0.5}Sb_{12}$.

4. Conclusions

The study of combined action of melt spinning and spark plasma sintering on phase composition, microstructural and thermoelectric properties of filled skutterudites has been performed. Melt spinning allowed for reduction of the $R_y Fe_{4-x} Co_x Sb_{12}$ crystallites to sizes well below 100 nm, but also led to drastic deterioration of nominal phase in these compounds. Facilitated by enhanced finegrain structure of as-melt-spun ribbons, SPS appears to enable an easy and fast restoration of $R_y Fe_{4-x} Co_x Sb_{12}$ phase with preserved nanostructure in them and relatively high TE performances. Due to peculiar events and process features of SPS, that have to be yet clarified,

the observed transformations of $R_y Fe_{4-x} Co_x Sb_{12}$ are accompanied by contraction of unit cell, development of structural microstrains and reduction of crystallite sizes. Although the expected nanostructure-related reduction of thermal conductivity has not been proven, this study inferred the attractiveness of simple MS-SPS approach in concern of time and cost efficiency of TE technology for energy applications. However, significant process optimization would be required for metastable by nature Fesubstituted p-type skutterudites in order to minimize the deteriorating effect of, likely, inevitable secondary phases on their thermoelectric properties.

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