

Proceedings of the 8th Workshop on Quantum Chaos and Localisation Phenomena, May 19–21, 2017, Warsaw, Poland

# Asymptotics of Resonances Induced by Point Interactions

J. LIPOVSKÝ<sup>a,\*</sup> AND V. LOTOREICHIK<sup>b</sup>

<sup>a</sup>Department of Physics, Faculty of Science, University of Hradec Králové,  
Rokitanského 62, 50003 Hradec Králové, Czechia

<sup>b</sup>Department of Theoretical Physics, Nuclear Physics Institute CAS, 25068 Řež near Prague, Czechia

We consider the resonances of the self-adjoint three-dimensional Schrödinger operator with point interactions of constant strength supported on the set  $X = \{x_n\}_{n=1}^N$ . The size of  $X$  is defined by  $V_X = \max_{\pi \in \Pi_N} \sum_{n=1}^N |x_n - x_{\pi(n)}|$ , where  $\Pi_N$  is the family of all the permutations of the set  $\{1, 2, \dots, N\}$ . We prove that the number of resonances counted with multiplicities and lying inside the disc of radius  $R$  behaves asymptotically linear  $\frac{W_X}{\pi} R + \mathcal{O}(1)$  as  $R \rightarrow \infty$ , where the constant  $W_X \in [0, V_X]$  can be seen as the effective size of  $X$ . Moreover, we show that there exist a configuration of any number of points such that  $W_X = V_X$ . Finally, we construct an example for  $N = 4$  with  $W_X < V_X$ , which can be viewed as an analogue of a quantum graph with non-Weyl asymptotics of resonances.

DOI: [10.12693/APhysPolA.132.1677](https://doi.org/10.12693/APhysPolA.132.1677)

PACS/topics: 03.65.Ge, 03.65.Nk, 02.10.Ox

## 1. Introduction

In this note we discuss the resonances of the three-dimensional Schrödinger operator  $\mathcal{H}_{\alpha,X}$  with point interactions of constant strength  $\alpha \in \mathbb{R}$  supported on the discrete set  $X = \{x_n\}_{n=1}^N \subset \mathbb{R}^3$ ,  $N \geq 2$ . The corresponding Hamiltonian  $\mathcal{H}_{\alpha,X}$  is associated with the formal differential expression

$$-\Delta + \alpha \sum_{n=1}^N \delta(x - x_n) \text{ on } \mathbb{R}^3, \quad (1.1)$$

where  $\delta(\cdot)$  stands for the point  $\delta$ -distribution in  $\mathbb{R}^3$ . The Hamiltonian  $\mathcal{H}_{\alpha,X}$  can be rigorously defined as a self-adjoint extension of a certain symmetric operator in the Hilbert space  $L^2(\mathbb{R}^3)$ ; cf. Sect. 3 for details. Resonances of  $\mathcal{H}_{\alpha,X}$  were discussed in the monograph [1] and in several more recent publications, e.g. [2–4], see also the review [5] and the references therein.

Our ultimate goal is to obtain the asymptotic distribution for the resonances of  $\mathcal{H}_{\alpha,X}$ . To this aim, we define the *size* of  $X$  by

$$V_X := \max_{\pi \in \Pi_N} \sum_{n=1}^N |x_n - x_{\pi(n)}|, \quad (1.2)$$

where  $\Pi_N$  is the family of all the permutations of the set  $\{1, 2, \dots, N\}$ . A graph-theoretic interpretation of the value  $V_X$  through so-called irreducible pseudo-orbits is given in Remark 4.2. This definition of the size is motivated by the condition on resonances for  $\mathcal{H}_{\alpha,X}$  given in Sect. 4.1. As the main result of this note, we prove that the number  $\mathcal{N}_{\alpha,X}(R)$  of the resonances of  $\mathcal{H}_{\alpha,X}$  lying inside the disc  $\{z \in \mathbb{C}: |z| < R\}$  and with multiplicities taken into account behaves asymptotically linear

$$\mathcal{N}_{\alpha,X}(R) = \frac{W_X}{\pi} R + \mathcal{O}(1), \quad R \rightarrow \infty, \quad (1.3)$$

where the constant  $W_X \in [0, V_X]$  does not depend on  $\alpha$  and can be viewed as the effective size of  $X$ . The con-

stant  $W_X$  can be computed by an implicit formula. It is not at all clear whether a simple explicit formula for  $W_X$  in terms of  $X$  can be found.

In the proof of (1.3) we use that the resonance condition for  $\mathcal{H}_{\alpha,X}$  acquires the form of an exponential polynomial, which can be obtained by a direct computation or alternatively using the pseudo-orbit expansion as explained in Sect. 4.3. Recall that an exponential polynomial is a sum of finitely many terms, each of which is a product of a rational function and an exponential; cf. the review paper [6] and the monographs [7, 8]. In order to obtain the asymptotics (1.3) we employ a classical result on the distribution of zeros of exponential polynomials, recalled in Sect. 2 for the convenience of the reader.

A configuration of points  $X$  for which  $W_X = V_X$  is said to be of *Weyl-type*. We show that for any  $N \geq 2$  there exist the Weyl-type configurations consisting of  $N$  points. For two and three points ( $N \leq 3$ ), in fact, any configuration is of Weyl-type, as shown in Sect. 5.1. On the other hand, we present in Sect. 5.2 an example of a non-Weyl configuration for  $N = 4$ , for which strict inequality  $W_X < V_X$  holds. We expect that such configurations can also be constructed for any  $N > 4$ . One can trace an analogy with non-Weyl quantum graphs studied in [9, 10]. Non-uniqueness of the permutation at which the maximum in (1.2) is attained, is a necessary condition for a configuration of points  $X$  to be non-Weyl. Exact geometric characterization of non-Weyl-type point configurations remains an open question. Besides that a physical interpretation of this mathematical observation still needs to be clarified.

It is worth pointing out that  $\mathcal{N}_{\alpha,X}(R)$  is *asymptotically linear* similarly as the counting function for resonances of the one-dimensional Schrödinger operator  $-\frac{d^2}{dx^2} + V$  with a potential  $V \in C_0^\infty(\mathbb{R}; \mathbb{R})$ ; see [11]. The exact asymptotics of the counting function for resonances of the three-dimensional Schrödinger operator  $-\Delta + V$  with a potential  $V \in C_0^\infty(\mathbb{R}^3; \mathbb{R})$  is known only in some special cases, but for “generic” potentials this counting function behaves as  $\sim R^3$ , thus being *not asymptotically linear*; see [12] for details.

\*corresponding author; e-mail: [jiri.lipovsky@uhk.cz](mailto:jiri.lipovsky@uhk.cz)

**2. Exponential polynomials**

In this section we introduce exponential polynomials and recall a classical result on the asymptotic distribution of their zeros. This result was first obtained by Pólya [13] and later improved by many authors, including Schwengeler [14] and Moreno [15]. We refer the reader to the review [6] by Langer and to the monographs [7, 8].

**Definition 2.1:** An exponential polynomial  $F: \mathbb{C} \rightarrow \mathbb{C}$  is a function of the form

$$F(z) = \sum_{m=1}^M z^{\nu_m} A_m(z) e^{iz\sigma_m}, \tag{2.1}$$

where  $\nu_m \in \mathbb{R}$ ,  $m = 1, 2, \dots, M$ ,  $A_m(z)$  are rational functions in  $z$  not vanishing identically, and the constants  $\sigma_m \in \mathbb{R}$  are ordered increasingly ( $\sigma_{\min} := \sigma_1 < \sigma_2 < \dots < \sigma_M =: \sigma_{\max}$ ).

For example, for the exponential polynomial

$$F(z) = z \frac{z+i}{z-i} e^{iz} + z^2 \frac{z^2+i}{z^2+1} e^{2iz},$$

we have  $M = 2$ ,  $\nu_1 = 1$ ,  $\nu_2 = 2$ ,  $\sigma_1 = 1$ ,  $\sigma_2 = 2$ ,  $A_1(z) = \frac{z+i}{z-i}$ ,  $A_2(z) = \frac{z^2+i}{z^2+1}$ .

The zero set of an exponential polynomial  $F$  is defined by

$$\mathcal{Z}_F := \{z \in \mathbb{C} : F(z) = 0\}. \tag{2.2}$$

For any  $z \in \mathcal{Z}_F$  we define its multiplicity  $m_F(z) \in \mathbb{N}$  as the algebraic multiplicity of the root  $z$  of the function (2.1). Moreover, we introduce the counting function for an exponential polynomial  $F$  by

$$\mathcal{N}_F(R) = \sum_{z \in \mathcal{Z}_F \cap \mathcal{D}_R} m_F(z),$$

where  $\mathcal{D}_R := \{z \in \mathbb{C} : |z| < R\}$  is the disc in the complex plane centered at the origin and having the radius  $R > 0$ . Thus, the value  $\mathcal{N}_F(R)$  equals the number of zeros of  $F$  counted with multiplicities and lying inside  $\mathcal{D}_R$ . Now we have all the tools at our disposal to state the result on the asymptotics of  $\mathcal{N}_F(R)$ , proven in ([6], Thm. 6), see also ([9], Thm. 3.1).

**Theorem 2.1:** Let  $F$  be an exponential polynomial as in (2.1) such that

$$\lim_{z \rightarrow \infty} A_m(z) = a_m \in \mathbb{C} \setminus \{0\}, \quad \forall m = 1, 2, \dots, M.$$

Then the counting function for  $F$  asymptotically behaves as

$$\mathcal{N}_F(R) = \frac{\sigma_{\max} - \sigma_{\min}}{\pi} R + \mathcal{O}(1), \quad R \rightarrow \infty.$$

**3. Rigorous definition of  $\mathcal{H}_{\alpha, X}$**

The Schrödinger operator  $\mathcal{H}_{\alpha, X}$  associated with the formal differential expression (1.1) can be rigorously defined as a self-adjoint extension in  $L^2(\mathbb{R}^3)$  of the closed, densely defined, symmetric operator

$$\begin{aligned} \mathcal{S}_X u &:= -\Delta u, \\ \text{dom } \mathcal{S}_X &:= \{u \in H^2(\mathbb{R}^3) : u|_X = 0\}, \end{aligned} \tag{3.1}$$

where the vector  $u|_X = (u(x_1), u(x_2), \dots, u(x_N))^T \in \mathbb{C}^N$  is well-defined by the Sobolev embedding theorem ([16], Thm. 3.26). The self-adjoint extensions of  $\mathcal{S}_X$  with

$N = 1$  have been first analyzed in the seminal paper [17]. For  $N > 1$  the symmetric operator  $\mathcal{S}_X$  possesses a rich family of self-adjoint extensions, not all of which correspond to point interactions. The self-adjoint extensions of  $\mathcal{S}_X$  corresponding to point interactions are investigated in detail in the monographs [1, 18], see also the references therein. Several alternative ways for parameterizing of all the self-adjoint extensions of  $\mathcal{S}_X$  can be found in a more recent literature; see *e.g.* [19–21]. Below we follow the strategy of [19] and use some of notations therein. According to ([19], Prop. 4.1), the adjoint of  $\mathcal{S}_X$  can be characterized as follows:

$$\begin{aligned} \text{dom } \mathcal{S}_X^* &= \left\{ u = u_0 + \sum_{n=1}^N \left( \xi_{0n} \frac{e^{-r_n}}{r_n} + \xi_{1n} e^{-r_n} \right) : \right. \\ &\quad \left. u_0 \in \text{dom } \mathcal{S}_X, \xi_0, \xi_1 \in \mathbb{C}^N \right\}, \end{aligned}$$

$$\begin{aligned} \mathcal{S}_X^* u &= -\Delta u_0 \\ &\quad - \sum_{n=1}^N \left( \xi_{0n} \frac{e^{-r_n}}{r_n} + \xi_{1n} \left( e^{-r_n} - \frac{2e^{-r_n}}{r_n} \right) \right), \end{aligned}$$

where  $r_n: \mathbb{R}^3 \rightarrow \mathbb{R}_+$ ,  $r_n(x) := |x - x_n|$  for all  $n = 1, 2, \dots, N$  and  $\xi_0 = \{\xi_{0n}\}_{n=1}^N$ ,  $\xi_1 = \{\xi_{1n}\}_{n=1}^N$ . Next, we introduce the mappings  $\Gamma_0, \Gamma_1: \text{dom } \mathcal{S}_X^* \rightarrow \mathbb{C}^N$  by

$$\Gamma_0 u := 4\pi \xi_0$$

and

$$\Gamma_1 u := \left\{ \lim_{x \rightarrow x_n} \left( u(x) - \frac{\xi_{0n}}{r_n} \right) \right\}_{n=1}^N. \tag{3.2}$$

Eventually, the operator  $\mathcal{H}_{\alpha, X}$  is defined as the restriction of  $\mathcal{S}_X^*$

$$\begin{aligned} \mathcal{H}_{\alpha, X} u &:= \mathcal{S}_X^* u, \\ \text{dom } \mathcal{H}_{\alpha, X} &:= \{u \in \text{dom } \mathcal{S}_X^* : \Gamma_1 u = \alpha \Gamma_0 u\}, \end{aligned} \tag{3.3}$$

*cf.* ([19], Rem. 4.3). Finally, by ([19], Prop. 4.2), the operator  $\mathcal{H}_{\alpha, X}$  is self-adjoint in  $L^2(\mathbb{R}^3)$ . Note also that the operator  $\mathcal{H}_{\alpha, X}$  is the same as the one considered in ([1], Chap. II.1). We remark that the usual self-adjoint free Laplacian in  $L^2(\mathbb{R}^3)$  formally corresponds to the case  $\alpha = \infty$ .

**4. Resonances of  $\mathcal{H}_{\alpha, X}$**

The main aim of this section is to prove asymptotics of resonances given in (1.3). Apart from that we provide a condition on resonances through the pseudo-orbit expansion, which is of independent interest and which leads to an interpretation of the constant  $V_X$  in the graph theory.

*4.1. A condition on resonances for  $\mathcal{H}_{\alpha, X}$*

First, we recall the definition of resonances for  $\mathcal{H}_{\alpha, X}$  borrowed from ([1], Sec. II.1.1). This definition provides at the same time a way to find them. To this aim we introduce the function

$$\begin{aligned} F_{\alpha, X}(\kappa) &:= \\ \det \left[ \left\{ \left( \alpha - \frac{i\kappa}{4\pi} \right) \delta_{nn'} - \tilde{G}_\kappa(x_n - x_{n'}) \right\}_{n, n'=1}^{N, N} \right], \end{aligned} \tag{4.1}$$

where  $\delta_{nn'}$  is the Kronecker symbol and  $\tilde{G}_\kappa(\cdot)$  is given by

$$\tilde{G}_\kappa(x) := \begin{cases} 0, & x = 0, \\ e^{i\kappa|x|}/(4\pi|x|), & x \neq 0. \end{cases}$$

We say that  $\kappa_0 \in \mathbb{C}$  with  $\text{Im}\kappa_0 \leq 0$  is a resonance of  $\mathcal{H}_{\alpha,X}$  if

$$F_{\alpha,X}(\kappa_0) = 0, \tag{4.2}$$

holds. The multiplicity of the resonance  $\kappa_0$  equals the multiplicity of the zero of  $F_{\alpha,X}(\cdot)$  at  $\kappa = \kappa_0$ . In our convention true resonances and negative eigenvalues of  $\mathcal{H}_{\alpha,X}$  correspond to  $\text{Im}\kappa_0 < 0$  and  $\text{Im}\kappa_0 > 0$ , respectively. According to ([1], Thm. II.1.1.4) the number of negative eigenvalues of  $\mathcal{H}_{\alpha,X}$  is finite and in the end it does not contribute to the asymptotics of the counting function for resonances of  $\mathcal{H}_{\alpha,X}$ . A connection between the above definition of the resonances for  $\mathcal{H}_{\alpha,X}$  and a more fundamental definition through the poles of the analytic continuation of the resolvent for  $\mathcal{H}_{\alpha,X}$  can be justified through the Krein formula in ([1], §II.1.1, Thm 1.1.1).

It is not difficult to see using standard formula for the determinant of a matrix that  $F_{\alpha,X}$  is an exponential polynomial as in Definition 2.1 with the coefficients dependent on  $\alpha$  and on the set  $X$ .

4.2. Asymptotics of the number of resonances

Recall the definition of the counting function for resonances of  $\mathcal{H}_{\alpha,X}$ .

**Definition 4.1:** We define the *counting function*  $\mathcal{N}_{\alpha,X}(R)$  as the number of resonances of  $\mathcal{H}_{\alpha,X}$  with multiplicities lying inside the disc  $\mathcal{D}_R$ .

Now, we have all the tools to provide a proof for the asymptotics of resonances (1.3) stated in the introduction.

**Theorem 4.1:** The counting function for resonances of  $\mathcal{H}_{\alpha,X}$  asymptotically behaves as

$$\mathcal{N}_{\alpha,X}(R) = \frac{W_X}{\pi}R + \mathcal{O}(1), \quad R \rightarrow \infty, \tag{4.3}$$

with a constant  $W_X \in [0, V_X]$ , where  $V_X$  is the size of  $X$  defined in (1.2). In addition,  $W_X$  is independent of  $\alpha$ .

*Proof.* The argument relies on the resonance condition (4.2). Note that the element of the matrix under the determinant in (4.1) located in the  $n$ -th row and the  $n'$ -th column is a product of a polynomial in  $\kappa$  and the exponential  $\exp(i\kappa\ell_{nn'})$  with  $\ell_{nn'} = |x_n - x_{n'}|$ . Hence, expanding  $F_{\alpha,X}$  by means of a standard formula for the determinant, we get that each single term in  $F_{\alpha,X}$  is a product of a polynomial in  $\kappa$  and the exponential  $\exp(i\kappa \sum_{n=1}^N \ell_{n\pi(n)})$ , where  $\pi \in \Pi_N$  is a permutation of the set  $\{1, 2, \dots, N\}$ .

The term with the lowest multiple of  $i\kappa$  in the exponential is  $(\alpha - \frac{i\kappa}{4\pi})^N$ , i.e. there is no exponential at all and hence  $\sigma_{\min} = 0$ . The largest possible multiple of  $i\kappa$  in the exponentials of  $F_{\alpha,X}$  is  $V_X$ . Hence, we get  $\sigma_{\max} \leq V_X$ . The equality  $\sigma_{\max} = V_X$  is not always satisfied. If the polynomial coefficient by  $\exp(i\kappa V_X)$  vanishes, we have strict inequality  $\sigma_{\max} < V_X$ . Finally,

Theorem 2.1 yields

$$\mathcal{N}_{\alpha,X}(R) = \mathcal{N}_{F_{\alpha,X}}(R) = \frac{W_X}{\pi}R + \mathcal{O}(1), \quad R \rightarrow \infty,$$

with some  $W_X \in [0, V_X]$ .

The term with the largest multiple of  $i\kappa$  in the exponent can be represented as a product  $P(\alpha - \frac{i\kappa}{4\pi}) \exp(i\kappa\sigma_{\max})$ , where  $P$  is a polynomial with real coefficients of degree  $< N$ . For simple algebraic reasons, if this term does not identically vanish as a function of  $\kappa$  for some  $\alpha = \alpha_0 \in \mathbb{R}$ , then it does not identically vanish in the same sense for all  $\alpha \in \mathbb{R}$ . Hence, we obtain by Theorem 2.1 that  $W_X$  is independent of  $\alpha$ .  $\square$

The argument in Theorem 4.1 suggests the following implicit formula for the constant  $W_X$

$$W_X = \inf \left\{ w \in [0, \infty) : \lim_{t \rightarrow \infty} e^{-wt} |F_{\alpha,X}(-it)| = 0 \right\},$$

where  $F_{\alpha,X}(\cdot)$  is as in (4.1).

**Remark 4.1:** The proof of Theorem 4.1 gives slightly more, namely the case  $W_X < V_X$  can occur only if the maximum in the definition (1.2) of the size  $V_X$  of  $X$  is attained at more than one permutation, as otherwise cancellation of the principal term in the exponential polynomial  $F_{\alpha,X}$  can not occur.

4.3. Pseudo-orbit expansion for the resonance condition

The resonance condition (4.2) can be alternatively expressed by contributions of the irreducible pseudo-orbits similarly as for quantum graphs [22–24]. This expression is just yet another way how to write the determinant. However, in some cases one can easier find the terms of the determinant by studying pseudo-orbits on the corresponding directed graph and, eventually, verify their cancellations.

Consider a complete metric graph  $G$  having  $N$  vertices identified with the respective points in the set  $X$  and connected by  $\frac{N(N-1)}{2}$  edges of lengths  $\ell_{nn'} = |x_n - x_{n'}|$ . To this graph we associate its oriented  $G'$  counterpart, which is obtained from  $G$  by replacing each edge  $e$  of  $G$  ( $e$  is the edge between the points with indices  $n$  and  $n'$ ) by two oriented bonds  $b, \hat{b}$  of lengths  $|b| = |\hat{b}| = \ell_{nn'}$ . The orientation of the bonds is opposite;  $b$  goes from  $x_n$  to  $x_{n'}$ , whereas  $\hat{b}$  goes from  $x_{n'}$  to  $x_n$ .

**Definition 4.2:** With the graph  $G'$  we associate the following concepts.

- (a) A *periodic orbit*  $\gamma$  in the graph  $G'$  is a closed path, which begins and ends at the same vertex, we label it by the oriented bonds, which it subsequently visits  $\gamma = (b_1, b_2, \dots, b_n)$ .
- (b) A *pseudo-orbit*  $\tilde{\gamma}$  is a collection of periodic orbits  $\tilde{\gamma} = \{\gamma_1, \gamma_2, \dots, \gamma_n\}$ . The number of periodic orbits contained in the pseudo-orbit  $\tilde{\gamma}$  will be denoted by  $|\tilde{\gamma}|_o \in \mathbb{N}_0$ .
- (c) An *irreducible pseudo-orbit*  $\tilde{\gamma}$  is a pseudo-orbit which does not contain any bond more than once. Furthermore, we define

$$B_{\tilde{\gamma}}(\kappa) = \prod_{b_j \in \tilde{\gamma}} \left( -\frac{e^{i\kappa|b_j|}}{4\pi|b_j|} \right).$$

For  $|\bar{\gamma}|_o = 0$  we set  $B_{\bar{\gamma}} := 1$ . We denote by  $\bar{\mathcal{O}}_m$  the set of all irreducible pseudo-orbits in  $G'$  containing exactly  $m \in \mathbb{N}_0$  bonds. Note that the total length of  $\bar{\gamma}$  is given by  $\sum_{b_j \in \bar{\gamma}} |b_j|$ .

Note that any permutation  $\pi \in \Pi_N$  can be represented as a product of disjoint cycles ([25], Sec. 3.1)

$$\pi = (v_1, v_2, \dots, v_{n_1})(v_{n_1+1}, \dots, v_{n_1+n_2}) \dots (v_{n_1+\dots+n_{m(\pi)-1}+1}, \dots, v_{n_1+\dots+n_{m(\pi)}}),$$

where  $m(\pi)$  is the number of them,  $n_j = n_j(\pi)$  is the length of the  $j^{\text{th}}$ -cycle, and  $n(\pi)$  is the number of cycles in  $\pi$  of length one. In this notation, each parenthesis denotes one cycle and *e.g.* for a cycle  $(v_1, v_2, \dots, v_{n_1})$  it holds that  $\pi(v_1) = v_2, \pi(v_2) = v_3, \dots, \pi(v_{n_1}) = v_1$ . The permutations  $\Pi_N$  are in one-to-one correspondence with irreducible pseudo-orbits in Definition 4.2 through the decomposition into cycles; *cf.* ([22], Sec. 3). Namely, an irreducible pseudo-orbit  $\bar{\gamma} = \bar{\gamma}(\pi)$  consists of periodic orbits, each of which is a cycle of  $\pi$  in its decomposition, satisfying  $n_j(\pi) > 1$ .

With these definitions in hands, we can state the following proposition, whose proof is inspired by the proof of ([22], Thm. 1).

**Proposition 4.1:** The resonance condition  $F_{\alpha, X}(\kappa) = 0$  in (1.2) can be alternatively written as

$$\sum_{\pi \in \Pi_N} \text{sign } \pi \prod_{n=1}^N \left( \left( \alpha - \frac{i\kappa}{4\pi} \right) \delta_{n\pi(n)} - \tilde{G}_\kappa(x_n - x_{\pi(n)}) \right) = (-1)^N \sum_{n=0}^N \sum_{\bar{\gamma} \in \bar{\mathcal{O}}_n} (-1)^{|\bar{\gamma}|_o} B_{\bar{\gamma}}(\kappa) \left( \frac{i\kappa}{4\pi} - \alpha \right)^{N-n} = 0.$$

*Proof.* Expanding the determinant in the definition of  $F_{\alpha, X}$  we get

$$F_{\alpha, X}(\kappa) = \sum_{\pi \in \Pi_N} \text{sign } \pi \times \prod_{n=1}^N \left( \left( \alpha - \frac{i\kappa}{4\pi} \right) \delta_{n\pi(n)} - \tilde{G}_\kappa(x_n - x_{\pi(n)}) \right). \quad (4.4)$$

According to ([26], Sec 4.1), we have  $\text{sign } \pi = (-1)^{N+m(\pi)}$ . Substituting this formula for  $\text{sign } \pi$  into (4.4), making use of the correspondence between irreducible periodic orbits and permutations, the formula  $m(\pi) = n(\pi) + |\bar{\gamma}(\pi)|_o$ , and performing some simple rearrangements, we find

$$F_{\alpha, X}(\kappa) = \sum_{n=0}^N \sum_{\substack{\pi \in \Pi_N \\ n(\pi) = N-n}} \text{sign } \pi \times \prod_{s=1}^N \left( \left( \alpha - \frac{i\kappa}{4\pi} \right) \delta_{s\pi(s)} - \tilde{G}_\kappa(x_s - x_{\pi(s)}) \right) = \sum_{n=0}^N \sum_{\substack{\pi \in \Pi_N \\ n(\pi) = N-n}} (-1)^{N+n(\pi)} (-1)^{|\bar{\gamma}(\pi)|_o} B_{\bar{\gamma}(\pi)}(\kappa) \left( \alpha - \frac{i\kappa}{4\pi} \right)^{N-n} = (-1)^N \sum_{n=0}^N \sum_{\bar{\gamma} \in \bar{\mathcal{O}}_n} (-1)^{|\bar{\gamma}|_o} B_{\bar{\gamma}}(\kappa) \left( \frac{i\kappa}{4\pi} - \alpha \right)^{N-n}.$$

**Remark 4.2:** In view of Proposition 4.1, the value  $V_X$  in (1.2) can be interpreted as the maximal possible total length of an irreducible pseudo-orbit in the graph  $G'$ .

### 5. Point configurations of Weyl- and non-Weyl-types

Recall that a configuration of points is said to be of Weyl-type if  $W_X = V_X$  and of non-Weyl-type if  $W_X < V_X$ . In this section we provide examples for both types of point configurations and discuss related questions. For the sake of convenience, for a configuration of points  $X = \{x_n\}_{n=1}^N$  and a permutation  $\pi \in \Pi_N$  we define

$$v_X(\pi) := \sum_{n=1}^N |x_n - x_{\pi(n)}|.$$

#### 5.1. Weyl-type configurations

First, we show that for low number of points non-Weyl configurations do not exist.

**Proposition 5.1:** For  $N = 2, 3$ ,  $W_X = V_X$  holds for any  $X = \{x_n\}_{n=1}^N$ .

*Proof.* For  $N = 2$ , we have  $V_X = 2\ell_{12}$ . From (4.1) and (4.2) we obtain the resonance condition

$$\left( \frac{i\kappa}{4\pi} - \alpha \right)^2 - \frac{e^{2i\kappa\ell_{12}}}{(4\pi\ell_{12})^2} = 0.$$

Obviously, the coefficient at  $e^{i\kappa V_X}$  does not identically vanish and the claim follows from Theorem 2.1.

Let  $N = 3$ . Without loss of generality we assume that  $\ell_{12} \geq \ell_{23} \geq \ell_{13}$ . By triangle inequality we have  $\ell_{12} + \ell_{23} + \ell_{13} \geq 2\ell_{12}$ . The equality is attained only if all three points belong to a straight line. Hence, we have  $V_X = \ell_{12} + \ell_{23} + \ell_{13}$ , which is attained at the cyclic shift, having the decomposition  $\pi = (1, 2, 3)$ . From (4.2) we obtain the resonance condition

$$\left( \frac{i\kappa}{4\pi} - \alpha \right)^3 - \left( \frac{i\kappa}{4\pi} - \alpha \right) f(\kappa) + g(\kappa) = 0,$$

where

$$f(\kappa) := \frac{1}{(4\pi)^2} \left( \frac{e^{2i\kappa\ell_{12}}}{(\ell_{12})^2} + \frac{e^{2i\kappa\ell_{23}}}{(\ell_{23})^2} + \frac{e^{2i\kappa\ell_{13}}}{(\ell_{13})^2} \right),$$

$$g(\kappa) := \frac{2e^{i\kappa(\ell_{12}+\ell_{23}+\ell_{13})}}{(4\pi)^3\ell_{12}\ell_{23}\ell_{13}}.$$

For simple algebraic reasons, in both cases  $\ell_{12} + \ell_{23} + \ell_{13} > 2\ell_{12}$  and  $\ell_{12} + \ell_{23} + \ell_{13} = 2\ell_{12}$  the coefficient at  $e^{i\kappa V_X}$  does not vanish identically and the claim also follows from Theorem 2.1. □

Next, we show that Weyl-type configurations are not something specific for low number of points and they can be constructed for any number of them.

**Theorem 5.1:** For any  $N \geq 2$  there exist a configuration of points  $X = \{x_n\}_{n=1}^N$  such that  $W_X = V_X$ .

*Proof.* We provide two different constructions for the cases of even and odd number of points in the set  $X$ .

For  $N = 2m$ ,  $m \in \mathbb{N}$ , we choose the configuration  $X = \{x_n\}_{n=1}^{2m}$  as follows. First, we fix arbitrary distinct point  $x_1, x_2, \dots, x_m$  on the unit sphere  $\mathbb{S}^2 \subset \mathbb{R}^3$ , so that none of them is diametrically opposite to the other. Second, we select the point  $x_{m+k} \in \mathbb{S}^2$ ,  $k = 1, \dots, m$  to be diametrically opposite to  $x_k$ . For simple geometric reasons, we have  $V_X = 4m$  and this maximum is attained at the unique permutation  $\pi$  having the following decomposition into cycles  $\pi = (1, m + 1)(2, m + 2) \dots (m, 2m)$ . In view of Remark 4.1, we conclude that  $W_X = V_X$ .

For  $N = 2m + 1$ ,  $m \in \mathbb{N}$ , we choose the configuration  $X = \{x_n\}_{n=1}^{2m+1}$  as follows. First, we distribute the points  $\{x_n\}_{n=1}^{2m}$  on  $\mathbb{S}^2$  as in the case of even  $N$ . Second, we put the point  $x_{2m+1}$  into the center of  $\mathbb{S}^2$ . If a permutation  $\pi \in \Pi_{2m+1}$  does not contain the cycle  $(2m + 1)$ , then we have  $\nu_X(\pi) \leq 4m$  and the case of equality occurs only for the permutations

$$\begin{aligned} \pi_1 &= (1, m + 1)(2, m + 2) \dots \\ &\quad (m - 1, 2m - 1)(m, 2m, 2m + 1), \\ \pi_2 &= (1, m + 1)(2, m + 2) \dots \\ &\quad (m - 1, 2m - 1)(m, 2m + 1, 2m), \\ \pi_3 &= (1, m + 1)(2, m + 2) \dots \\ &\quad (m - 2, 2m - 2)(m - 1, 2m - 1, 2m + 1)(m, 2m), \\ \pi_4 &= (1, m + 1)(2, m + 2) \dots \\ &\quad (m - 2, 2m - 2)(m - 1, 2m + 1, 2m - 1)(m, 2m), \\ &\dots\dots \\ \pi_{2m-1} &= (2, m + 2) \dots \\ &\quad (m - 1, 2m - 1)(m, 2m)(1, m + 1, 2m + 1), \\ \pi_{2m} &= (2, m + 2) \dots \\ &\quad (m - 1, 2m - 1)(m, 2m)(1, 2m + 1, m + 1). \end{aligned}$$

If a permutation  $\pi \in \Pi_{2m+1}$  contains the cycle  $(2m + 1)$ , then we again have  $\nu_X(\pi) \leq 4m$  and the case of equality happens for the unique permutation

$$\pi_{2m+1} = (1, m + 1)(2, m + 2) \dots (m, 2m)(2m + 1).$$

Hence, we obtain that  $V_X = 4m$ . Moreover, the exponential polynomial  $F_{\alpha, X}$  in (4.1) can be written as

$$\begin{aligned} F_{\alpha, X}(\kappa) &= (-1)^m \frac{4m + 4\pi\alpha - i\kappa}{2^{2m}(4\pi)^{2m+1}} e^{i(4m)\kappa} + g_0(\kappa) \\ &\quad + \sum_{l=1}^L g_l(\kappa) e^{i\sigma_l \kappa}, \end{aligned}$$

where  $\sigma_l \in (0, 4m)$  and  $g_0, g_l$  are polynomials,  $l = 1, 2, \dots, L$ . Finally, by Theorem 2.1 we get  $W_X = V_X = 4m$ .  $\square$

5.2. An example of a non-Weyl-type configuration

Eventually, we provide an example of a configuration of points  $X = \{x_n\}_{n=1}^4$  for which  $W_X < V_X$  in Theorem 4.1, since there will be a significant cancellation of some terms.

For  $a, b, c > 0$ , we consider a configuration of points  $X = \{x_n\}_{n=1}^4$ , where

$$x_1 = (0, 0, 0)^\top, \quad x_2 = (a, -b, 0)^\top,$$

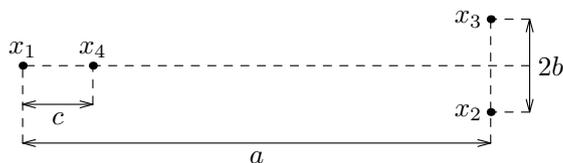


Fig. 5.1. Discrete set  $X = \{x_n\}_{n=1}^4$  related to example in Section 5.2.

$$x_3 = (a, b, 0)^\top, \quad x_4 = (c, 0, 0)^\top;$$

see Figure 5.1. Notice that

$$\begin{aligned} \ell_{12} &= \sqrt{a^2 + b^2}, \quad \ell_{23} = 2b, \\ \ell_{34} &= \sqrt{(a - c)^2 + b^2}, \quad \ell_{14} = c. \end{aligned} \tag{5.1}$$

Let us assume that  $b$  and  $c$  are sufficiently small in comparison to  $a$ , being more precise  $2b + c < \sqrt{a^2 + b^2} + \sqrt{(a - c)^2 + b^2}$ . Let us first write down the general resonance condition (4.2) for four points.

$$\begin{aligned} c_0^4 - c_0^2(c_1^2 + c_2^2 + c_3^2 + c_4^2 + c_5^2 + c_6^2) + 2c_0(c_1c_2c_4 \\ + c_1c_3c_5 + c_2c_3c_6 + c_4c_5c_6) + c_1^2c_6^2 + c_2^2c_5^2 \\ + c_3^2c_4^2 - 2(c_1c_2c_5c_6 + c_1c_3c_4c_6 + c_2c_3c_4c_5) = 0, \end{aligned}$$

where

$$\begin{aligned} c_0 &= \alpha - \frac{i\kappa}{4\pi}, \quad c_1 = -\frac{e^{i\kappa\ell_{12}}}{4\pi\ell_{12}}, \quad c_2 = -\frac{e^{i\kappa\ell_{13}}}{4\pi\ell_{13}}, \\ c_3 &= -\frac{e^{i\kappa\ell_{14}}}{4\pi\ell_{14}}, \quad c_4 = -\frac{e^{i\kappa\ell_{23}}}{4\pi\ell_{23}}, \quad c_5 = -\frac{e^{i\kappa\ell_{24}}}{4\pi\ell_{24}}, \\ c_6 &= -\frac{e^{i\kappa\ell_{34}}}{4\pi\ell_{34}}. \end{aligned}$$

In our special case we have

$$\ell_{12} = \ell_{13}, \quad \ell_{34} = \ell_{24}$$

and

$$\ell_{12} + \ell_{34} > \ell_{14} + \ell_{23}. \tag{5.2}$$

Moreover, using (5.1) we get

$$\begin{aligned} \ell_{12} + \ell_{23} + \ell_{34} + \ell_{14} &= 2b + c + \sqrt{a^2 + b^2} \\ + \sqrt{(a - c)^2 + b^2} &< 2\sqrt{a^2 + b^2} + 2\sqrt{(a - c)^2 + b^2} = \\ \ell_{12} + \ell_{34} + \ell_{13} + \ell_{24}. \end{aligned} \tag{5.3}$$

The elements of the group  $\Pi_4$  can be decomposed into disjoint cycles as:

$$\begin{aligned} \pi_1 &= (1)(2)(3)(4), \quad \pi_2 = (3, 4)(1)(2), \quad \pi_3 = (2, 3)(1)(4), \\ \pi_4 &= (2, 3, 4)(1), \quad \pi_5 = (2, 4, 3)(1), \quad \pi_6 = (2, 4)(1)(3), \\ \pi_7 &= (1, 2)(3)(4), \quad \pi_8 = (1, 2)(3, 4), \quad \pi_9 = (1, 2, 3)(4), \\ \pi_{10} &= (1, 2, 3, 4), \quad \pi_{11} = (1, 2, 4, 3), \quad \pi_{12} = (1, 2, 4)(3), \\ \pi_{13} &= (1, 3, 2)(4), \quad \pi_{14} = (1, 3, 4, 2), \quad \pi_{15} = (1, 3)(2)(4), \\ \pi_{16} &= (1, 3, 4)(2), \quad \pi_{17} = (1, 3)(2, 4), \quad \pi_{18} = (1, 3, 2, 4), \\ \pi_{19} &= (1, 4, 3, 2), \quad \pi_{20} = (1, 4, 2)(3), \quad \pi_{21} = (1, 4, 3)(2), \\ \pi_{22} &= (1, 4)(2)(3), \quad \pi_{23} = (1, 4, 2, 3), \quad \pi_{24} = (1, 4)(2, 3). \end{aligned}$$

Using the above decompositions of permutations and (5.2), (5.3) we find

$$\nu_X(\pi_8) = \nu_X(\pi_{11}) = \nu_X(\pi_{14}) = \nu_X(\pi_{17}) > \nu_X(\pi_{10}) =$$

$$\nu_X(\pi_{18}) = \nu_X(\pi_{19}) = \nu_X(\pi_{23}) > \dots > \nu_X(\pi_1) = 0.$$

Hence,  $V_X = \nu_X(\pi_8) = \nu_X(\pi_{11}) = \nu_X(\pi_{14}) = \nu_X(\pi_{17})$  and in view of (5.2) the leading term corresponding to  $\exp(i\kappa V_X)$  in the resonance condition (4.2) cancels

$$\frac{e^{2i\kappa(\ell_{12}+\ell_{34})}}{(4\pi)^4 \ell_{12}^2 \ell_{34}^2} + \frac{e^{2i\kappa(\ell_{13}+\ell_{24})}}{(4\pi)^4 \ell_{13}^2 \ell_{24}^2} - \frac{2e^{i\kappa(\ell_{12}+\ell_{34}+\ell_{13}+\ell_{24})}}{(4\pi)^4 \ell_{12} \ell_{34} \ell_{13} \ell_{24}} = 0.$$

However, the succeeding term in the condition (4.2) corresponding to the exponent  $\exp(i\kappa \nu_X(\pi_{10}))$  does not cancel

$$-\frac{2}{(4\pi)^4} \left( \frac{e^{i\kappa(\ell_{12}+\ell_{23}+\ell_{34}+\ell_{14})}}{\ell_{12} \ell_{23} \ell_{34} \ell_{14}} + \frac{e^{i\kappa(\ell_{13}+\ell_{23}+\ell_{24}+\ell_{14})}}{\ell_{13} \ell_{23} \ell_{24} \ell_{14}} \right) \neq 0.$$

Finally, we end up with

$$W_X = \nu_X(\pi_{10}) = \nu_X(\pi_{18}) = \nu_X(\pi_{19}) = \nu_X(\pi_{23}) < V_X.$$

## 6. Conclusions

In this note, we considered the three-dimensional Schrödinger operator  $\mathcal{H}_{\alpha, X}$  with finitely many point interactions of equal strength  $\alpha \in \mathbb{R}$  supported on the discrete set  $X$ . As the main result, we obtained that the resonance counting function for  $\mathcal{H}_{\alpha, X}$  behaves asymptotically linear. The constant coefficient standing by the linear term in this asymptotics can be seen as the effective size of  $X$ .

The obtained law of distribution for resonances is very much different from the behaviour of the resonance counting function for the three-dimensional Schrödinger operator with a regular potential [12]. On the other hand, it resembles the corresponding law for one-dimensional Schrödinger operators with regular potentials [11] and for quantum graphs [9, 10].

We associated a complete directed weighted graph  $G'$  with the configuration of points  $X$  in a natural way. The effective size of  $X$  can be estimated from above by the actual size of  $X$  defined as the maximal possible total length of an irreducible pseudo-orbit in the graph  $G'$ . An implicit formula for finding the effective size of  $X$  was given. We also provided examples showing sharpness of our upper bound on the effective size of  $X$ . Point configurations for which the effective size of  $X$  is strictly smaller than its actual size can be seen as analogues of ‘non-Weyl’ quantum graphs [9, 10]. The physical experiment which could illustrate mathematical results found in this paper still awaits realization; one of the possibilities could be to use microwave cavities (see e.g. [27]).

## Acknowledgments

J.L. acknowledges the support by the grant 15-14180Y of the Czech Science Foundation. V.L. acknowledges the support by the grant No. 17-01706S of the Czech Sci-

ence Foundation (GAČR) and is grateful for the stimulating research stay and the hospitality at the University of Hradec Králové in April 2016 where a part of this work was done. The authors are grateful to Prof. P. Exner for discussions and thank the referee for useful remarks which helped to improve the paper.

## References

- [1] S. Albeverio, F. Gesztesy, R. Høegh-Krohn, H. Holden, *Solvable Models in Quantum Mechanics*, Vol. 350, 2nd ed., AMS Chelsea Publ., Providence (RI) 2005.
- [2] S. Albeverio, I. Karabash, *Resonance free regions and non-Hermitian spectral optimization for Schrödinger point interactions*, to appear in *Oper. Matrices*.
- [3] J.F. Brasche, R. Figari, A. Teta, *Potent. Anal.* **8**, 163 (1998).
- [4] P. Exner, R. Gawlista, P. Šeba, M. Tater, *Ann. Phys.* **252**, 133 (1996).
- [5] G. Dell’Antonio, R. Figari, A. Teta, in: *Inverse Problems and Imaging*, lectures given at the C.I.M.E. Summer School held in Martina Franca (Italy), 2002, Springer, Berlin 2008, p. 171.
- [6] R. Langer, *Bull. Am. Math. Soc.* **37**, 213 (1931).
- [7] R. Bellman, K.L. Cooke, *Differential-Difference Equations*, Academic Press, New York 1963.
- [8] C.A. Berenstein, R. Gay, *Complex Analysis and Special Topics in Harmonic Analysis*, Springer, New York 1995.
- [9] E.B. Davies, P. Exner, J. Lipovský, *J. Phys. A Math. Theor.* **43**, 474013 (2010).
- [10] E.B. Davies, A. Pushnitski, *Anal. PDE* **4**, 729 (2011).
- [11] M. Zworski, *J. Funct. Anal.* **73**, 277 (1987).
- [12] T.J. Christiansen, P.D. Hislop, *J. Équ. Dériv. Partielles* **3**, 18 (2008).
- [13] G. Pólya, *Münch. Sitzungsber.* **50**, 285 (1920).
- [14] E. Schwengeler, *Ph.D. Thesis*, Techn. Hochsch., Zürich 1925.
- [15] C.J. Moreno, *Compos. Math.* **26**, 69 (1973).
- [16] W. McLean, *Strongly Elliptic Systems and Boundary Integral Equations*, Cambridge University Press, Cambridge 2000.
- [17] F.A. Berezin, L.D. Faddeev, *Sov. Math. Dokl.* **2**, 372 (1961); translation from *Dokl. Akad. Nauk SSSR* **137**, 1011 (1961).
- [18] S. Albeverio, P. Kurasov, *Singular Perturbations of Differential Operators. Solvable Schrödinger Type Operators*, London Mathematical Society Lecture Note Series, Vol. 271, Cambridge University Press, Cambridge 1999.
- [19] N. Goloshchapova, M. Malamud, V. Zastavnyi, *Math. Nachr.* **285**, 1839 (2012).
- [20] A. Posilicano, *Oper. Matrices* **2**, 483 (2008).
- [21] A. Teta, *Publ. Res. Inst. Math. Sci.* **26**, 803 (1990).
- [22] R. Band, J.M. Harrison, C.H. Joyner, *J. Phys. A Math. Theor.* **45**, 325204 (2012).
- [23] J. Lipovský, *Acta Phys. Pol. A* **128**, 968 (2015).
- [24] J. Lipovský, *J. Phys. A Math. Theor.* **49**, 375202 (2016).
- [25] M. Bóna, *Combinatorics of Permutations*, Chapman and Hall/CRC, Boca Raton 2004.
- [26] R. Brualdi, D. Cvetković, *A Combinatorial Approach to Matrix Theory and Its Applications*, CRC Press, Boca Raton 2009.
- [27] S. Deus, P.M. Koch, L. Sirko, *Phys. Rev. E* **52**, 1146 (1995).