Fabrication of Transparent Yttria Ceramics by Spark Plasma Sintering

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Rapid densification of yttria (Y$_2$O$_3$) ceramics without sintering aids via spark plasma sintering at relatively low temperature of 1300$^\circ$C for 40 minutes at two-step pressure profile, followed by post-annealing at 1050$^\circ$C for 6 hours in air was attempted. Effect of preload pressure on microstructure, Vickers hardness, fracture toughness and optical in-line transmittance was investigated. Densification during spark plasma sintering did not involve any phase transformation and all phases have shown cubic structure according to X-ray analysis. The highest hardness and fracture toughness value was recorded to be 7.60 $\pm$ 0.18 GPa and 1.16 $\pm$ 0.07 MPa m$^{1/2}$ with 10 MPa preload and 100 MPa final load. In addition to this, the highest transmittance with a value of 76.7% at a wavelength of 2000 nm was obtained when studied with 10 MPa preload and 100 MPa final load.

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1. Introduction

Transparent polycrystalline yttria ceramics provides high potentials for optical applications, such as solid-state lasers [1–3], host materials for scintillators [4], missile domes [5] and light bulb envelopes [6] due to its high melting temperature around 2430$^\circ$C, high corrosion resistance, good thermal stability, high thermal conductivity [7], broad range of transparency from 0 to 8 $\mu$m [8], high refractive index around 1.96 in the visible spectrum [9]. Spark plasma sintering (SPS) method is very effective for restraining grain growth, due to the possibility of full densification of ceramic powders within short time at relatively low temperatures [10]. Zhang et al. [4] have studied high-pressure spark plasma sintering of yttria and have achieved the transparency level of single crystal yttria, but as it is not feasible to work with ring of yttria and have achieved the transparency level of single crystal yttria, but as it is not feasible to work with single crystal yttria, but as it is not feasible to work with single crystal yttria.

Wang et al. [12] have studied the influence of the SPS pressure profile on transparency of MgAl$_2$O$_4$ ceramics, however it is the first time when this method is applied to yttria ceramics.

We have focused on producing high-quality, fine-grained transparent yttria ceramics by using SPS with three-step heating regime (100$^\circ$C/min, 25$^\circ$C/min, 10$^\circ$C/min) and moderate pressure without any sintering aids. A two-step pressure profile was emphasized to analyze the effect of preload pressure on the final optical and mechanical properties of this ceramic.

2. Materials and methods

Y$_2$O$_3$ powder (99.999% purity, American Elements, USA) with a manufacturer-determined average particle size of 90 nm was used as starting powder. The as-received Y$_2$O$_3$ powder was ball milled for 12 h in ethanol using zirconia balls and dried at 100$^\circ$C in an oven for 24 h. The granulated powder was sieved through a 200-mesh screen, calcined at 1000$^\circ$C in air for 2 h. The calcined powder was loaded into a graphite die with a 50 mm inner diameter.

A graphite sheet was inserted between the punches and mould, and the graphite mould was covered with carbon blanket heat insulators. Samples were sintered using a SPS apparatus (SPS-7.40MK-VII, SPS Syntex Inc. Japan) with different preload pressures of 10–50 MPa. Pressure was increased to 100 MPa at 1000$^\circ$C and was kept constant during sintering and cooling. The sintering temperature was increased in a heating regime of 100$^\circ$C/min between room temperature and 600$^\circ$C, 25$^\circ$C/min between 600$^\circ$C and 1000$^\circ$C, held at 1000$^\circ$C for 5 minutes, heated at 10$^\circ$C/min between 1000$^\circ$C and sintering temperature of 1300$^\circ$C, soaked 40 minutes at sintering temperature. The process temperature was measured by an optical pyrometer focused on the surface of the die. The sintered discs were sand blasted and annealed at 1050$^\circ$C for 6 hours in air. The bulk densities of the sintered bodies were measured by the Archimedes method in distilled water. The crystal phases were identified by X-ray diffractometry (XRD, RAD-2C, Rigaku Corp., Japan) in 2θ range of 5–90$^\circ$, using graphite monochromatic CuK$_\alpha$ radiation.

Samples thicknesses were reduced to $\sim$0.5 mm. Then samples were mirror-polished by 9 $\mu$m, 3 $\mu$m and 1 $\mu$m diamond slurries, and in-line transparency values were measured using Agilent UV-Vis spectrophotometer.

Vickers hardness ($H_V$) and fracture toughness ($K_{IC}$) values were measured using a Vickers diamond indenter (Struers, Duramin A300) at a load of 9.8 N.

The polished sample surfaces were thermally etched in air for 45 minutes at 1100$^\circ$C and microstructures of the specimens were observed using scanning electron microscopy (SEM, Model JSM 7000F, JEOL).

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3. Results

Figure 1 shows XRD patterns of SPSed Y$_2$O$_3$ ceramic samples, which were identified as those of single Y$_2$O$_3$, all indexed as cubic according to JCPDS no. 41-1105. All three samples have shown the same pattern and thus densification during SPS did not involve any phase transformation.

![XRD patterns of Y$_2$O$_3$ ceramics, spark plasma sintered at 1300 °C for 40 minutes.](image)

Figure 1.

Fig. 2. Scanning electron micrographs of SPSed Y$_2$O$_3$ samples with (a) 10 MPa preload and (b) 50 MPa preload.

Figure 2 reveals that the exaggerated grain coarsening was dominant when applied preload was increased from 10 to 50 MPa. Relative density and average grain size values are given in Table I and in Fig. 3. Highest relative density of 99.4% was achieved with 10 MPa preload, while very close values were obtained with 30 and 50 MPa.

![Scanning electron micrographs of SPSed Y$_2$O$_3$ samples](image)

Fig. 2.

TABLE I

<table>
<thead>
<tr>
<th>Sample name</th>
<th>Preload [MPa]</th>
<th>Final load [MPa]</th>
<th>Relative density [%]</th>
<th>Average grain size [nm]</th>
</tr>
</thead>
<tbody>
<tr>
<td>P10</td>
<td>10</td>
<td>100</td>
<td>99.4</td>
<td>260 ± 15</td>
</tr>
<tr>
<td>P30</td>
<td>30</td>
<td>100</td>
<td>98.8</td>
<td>320 ± 10</td>
</tr>
<tr>
<td>P50</td>
<td>50</td>
<td>100</td>
<td>98.7</td>
<td>450 ± 25</td>
</tr>
</tbody>
</table>

Table II shows measured hardness, fracture toughness and in-line transmission results. The highest hardness value of 8.32 ± 0.18 GPa was recorded with 10 MPa preload, as the specimen had the lowest average grain size value. Transparency value has decreased dramatically to 51.1% when the preload pressure was increased to 50 MPa.

![Scanning electron micrographs of SPSed Y$_2$O$_3$ samples](image)

Fig. 2.

![In-line transmission versus wavelength for samples preloaded with different pressures.](image)

Fig. 4.

TABLE II

<table>
<thead>
<tr>
<th>Sample name</th>
<th>$H_V$ [GPa]</th>
<th>$K_{IC}$ [MPa m$^{1/2}$]</th>
<th>T [%]</th>
</tr>
</thead>
<tbody>
<tr>
<td>P10</td>
<td>8.32 ± 0.18</td>
<td>1.25 ± 0.07</td>
<td>76.7</td>
</tr>
<tr>
<td>P30</td>
<td>7.56 ± 0.16</td>
<td>1.20 ± 0.05</td>
<td>70.2</td>
</tr>
<tr>
<td>P50</td>
<td>7.01 ± 0.20</td>
<td>1.14 ± 0.04</td>
<td>51.1</td>
</tr>
</tbody>
</table>

Highest transparency with a value of 76.7% at 2000 nm was achieved when studied with 10 MPa preload. Transparency value has decreased gradually with the increasing preload pressure, as indicated in Fig. 4. Decrease in transparency can be due to the disharmony of grain boundary mobility and pore removal mobility. When higher preload pressures were applied, grain boundary mobility had increased so much that pores could not reach the grain boundaries. As a result they were captured in grains.

![In-line transmission versus wavelength for samples preloaded with different pressures.](image)

Fig. 4.

4. Conclusions

Y$_2$O$_3$ ceramics with relative densities greater than 98% and grain sizes between 260–450 nm were obtained by spark plasma sintering technique. Samples of 8.32 ± 0.18 GPa Vickers hardness and 1.25±0.07 MPa m$^{1/2}$ were achieved using 10 MPa SPS preload pressure, which is in accordance with the reported 1.0–1.5 MPa m$^{1/2}$ range.
and 7–9 GPa range [11]. The highest in-line transparency value was recorded to be 76.7%, at a wavelength of 2000 nm, when studied with 10 MPa preload and 100 MPa final load, although this sample have had the smallest grains. High transmittance results of lower preloaded samples can be attributed to the high relative density of the specimen. In order to achieve such high values, methods to restrain grain growth, such as addition of sintering additives, can be studied.

References