Overtone Modes in an At-Cut Quartz
Trapped-Energy Resonator with Elliptical Electrodes

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We analyze thickness-shear vibrations of an at-cut quartz plate with elliptical electrodes. The electrodes are essentially optimal in the sense of Mindlin in that they approximately satisfy the criterion for the Bechmann number in every direction. The scalar differential equation derived by Tiersten and Smythe is used which allows us to obtain the overtone modes in addition to the fundamental modes.

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1. Introduction

Electrode shape, size, and thickness are important design parameters. Early studies on electrode geometry were scattered. An important result was given by Mindlin [1] who obtained the optimal electrode shape and size for at-cut quartz resonators. The optimal electrodes in [1] satisfy the criterion for the Bechmann number in every direction so that when the resonator is in thickness-shear (TSh) vibration the entire electroded region vibrates in phase without charge cancellation on the electrodes. Due to the in-plane anisotropy of quartz plates, the Mindlin optimal electrodes are nearly elliptical, with the major axis exceeding the minor axis by 25%. Subsequent studies on elliptical electrodes can be found in [2–7]. For at-cut quartz plates, the optimal electrodes [1, 4], the frequencies and mode shapes in plates with elliptical electrodes [5] were all determined using the Mindlin first-order plate theory and thus were limited to the fundamental TSh modes with one nodal point along the plate thickness only. In this paper we extend these results to overtone TSh modes using the scalar differential equations by Tiersten and Smythe [8] which are valid for both the fundamental and the overtone TSh modes.

2. Governing equations

Consider an unbounded plate of at-cut quartz as shown in Fig. 1. The electrode stiffness is neglected. The electrode boundary is given by
\[
\frac{x_1^2}{a^2 M_n/c_{55}} + \frac{x_2^2}{a^2} = 1.
\]
(1)

The semi-major and semi-minor axes are \(\sqrt{M_n/c_{55}}\) and \(a\), respectively. \(M_n\) and \(c_{55}\) are to be defined later. The ratio between the major and minor axes is about 1.26 which is close to the optimal electrodes determined in [1]. We analyze the unelectroded and electroded regions separately.

\[
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\[\frac{x_1^2}{a^2 M_n/c_{55}} + \frac{x_2^2}{a^2} = 1.\]
(1)

The scalar equations governing the electroded and unelectroded plates are, respectively, given by [8]:

\[
M_n \frac{\partial^2 u_1^n}{\partial x_1^2} + c_{55} \frac{\partial^2 u_1^n}{\partial x_2^2} + \rho (\omega^2 - \tilde{\omega}_n^2) u_1^n = 0, \quad \text{(2)}
\]

\[
M_n \frac{\partial^2 u_1^n}{\partial x_1^2} + c_{55} \frac{\partial^2 u_1^n}{\partial x_2^2} + \rho (\omega^2 - \tilde{\omega}_n^2) u_1^n = 0, \quad \text{(3)}
\]

where

\[
M_n = c_{11} + (c_{12} + c_{66})r \frac{4(r\bar{c}_{66} - c_{66})(rc_{22} + c_{12})}{c_{22} n \pi \kappa},
\]

\[
\kappa = \left(\frac{c_{66}}{c_{22}}\right)^{1/2}, \quad \bar{c}_{66} = c_{66} + \frac{c_{26}}{c_{22}}, \quad R = \frac{2\rho h'}{\rho h},
\]

\[
\tilde{\omega}_n^2 = \frac{c_{66} c_{22}^2}{4h^2 \rho}, \quad \bar{c}_{66} = c_{66} \left(1 - \frac{8\bar{k}_{26}^2}{n^2 \pi^2} - 2R\right), \quad \bar{k}_{26}^2 = \frac{c_{26}}{c_{66} c_{22}}.
\]

(4)

3. Theoretical analysis

We introduce a new coordinate system \((\xi_1, \xi_3)\) by

\[
x_1 = \xi_1 \sqrt{M_n/c_{55}}, \quad x_3 = \xi_3.
\]
(5)

In this coordinate system, the elliptical electrodes in Eq. (1) are represented by a circular domain described by

\[
\frac{\xi_1^2}{a^2} + \frac{\xi_3^2}{a^2} = 1.
\]
(6)

Equations (2) and (3) become

\[
c_{55} \nabla^2 u_1^n + \rho (\omega^2 - \tilde{\omega}_n^2) u_1^n = 0, \quad \text{(7)}
\]

\[
c_{55} \nabla^2 u_1^n + \rho (\omega^2 - \tilde{\omega}_n^2) u_1^n = 0.
\]

(8)

We then introduce a polar coordinate system \((r, \theta)\);

\[
\xi_3 = r \cos \theta, \quad \xi_1 = r \sin \theta.
\]
(9)

We are only interested in modes that are independent of \(\theta\). Then Eqs. (7) and (8) reduce to

\[
\frac{\partial^2 u_1^n}{\partial r^2} + \frac{1}{r} \frac{\partial u_1^n}{\partial r} + \alpha^2 u_1^n = 0, \quad r < a,
\]

(10)

\[\text{(294)}\]
\[
\frac{\partial^2 u_n^r}{\partial r^2} + \frac{1}{r} \frac{\partial u_n^r}{\partial r} - \beta^2 u_n^r = 0, \quad r > a, \tag{11}
\]
where
\[
\alpha^2 = \rho (\omega^2 - \bar{\omega}_n^2)/c_{55} > 0, \tag{12}
\]
\[
\beta^2 = \rho (\omega_n^2 - \omega^2)/c_{55} > 0.
\]
The general solutions to Eqs. (10) and (11) are
\[
u_n^r = \begin{cases} 
AJ_0(\alpha r), & r < a, \\
BK_0(\beta r), & r > a,
\end{cases} \tag{13}
\]
where \(J_0\) is the zero-order Bessel function of the first kind which is bounded at the origin. \(K_0\) is the zero-order modified Bessel function of the second kind which decays exponentially at infinity. At the electrode edge we have the continuity of \(u_n^r\) and its radial derivative
\[
AJ_0'(\alpha a) = BK_0'(\beta a), \quad AJ_0(\alpha a) = BK_0(\beta a), \tag{14}
\]
where a prime represents differentiation with respect to the entire argument, i.e., \(\alpha r\) or \(\beta r\). For nontrivial solutions of \(A\) and/or \(B\), the determinant of the coefficient matrix must vanish, which gives the following frequency equation that determines \(\omega\):
\[
\alpha J_0'(\alpha a)K_0(\beta a) - \beta J_0(\alpha a)K_0'(\beta a) = 0. \tag{15}
\]

4. Numerical results and discussion

Consider an at-cut quartz plate with \(2h = 1\) mm and \(R = 0.01\) [4, 5]. We begin with the same fundamental mode of the same resonator as that in [4, 5] with \(a = 9\) mm. Only one trapped mode is found with \(\omega = 10347460.12\) 1/s which is very close to the \(\omega = 10340074\) 1/s in [4, 5]. This shows the agreement between the Mindlin plate equations and the scalar equations in [8]. The corresponding mode shape is shown in Fig. 2.

![Fig. 1. A quartz plate with elliptical electrodes.](image1)

![Fig. 2. Trapped mode when \(n = 1, R = 0.01\), and \(a = 9\) mm.](image2)

![Fig. 3. Trapped modes when \(n = 1, R = 0.01\), and \(a = 15\) mm.](image3)

![Fig. 4. Trapped modes when \(n = 3, R = 0.01\), and \(a = 9\) mm.](image4)

![Fig. 5. Trapped modes when \(n = 3, R = 0.01\), and \(a = 15\) mm.](image5)
Still for the case of $n = 1$, if we increase $a$ to 15 mm, two trapped modes with $\omega = 10321084.404$ 1/s and $\omega = 10407942.84$ 1/s are found. The two frequencies are close. The corresponding modes are shown in Fig. 3. The second mode has one nodal point in the radial direction.

Next we calculate overtone modes with $n > 1$. When $R = 0.01$ and $a = 9$ mm, three trapped modes are found with frequencies $\omega = 30986683.10$, 31086203.01, and 31253039.79 1/s, respectively. These frequencies are slowly increasing and are about three times the frequencies of the fundamental modes. The corresponding modes are shown in Fig. 4. The first mode is trapped better than the corresponding one in Fig. 2.

If we increase $a$ to 15 mm, five trapped modes are found with $\omega = 30972311.01$, 31012083.41, 31082852.72, 31182615.73, and $\omega = 31301843.25$ 1/s, respectively. The corresponding modes are shown in Fig. 5.

5. Conclusion

Analytical solutions are obtained for trapped-energy resonators with elliptical electrodes. When the optimal electrodes are used, there is only one trapped mode which does not have nodal points under the electrodes. When electrodes larger than the optimal are used, there are more than one trapped modes and they have nodal points except the first mode. The frequencies of the fundamental modes with $n = 1$ are close. The frequencies of the overtone modes with $n = 3$ are about three times the frequencies of the fundamental.

Acknowledgments

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References