Nanostructured Materials for Optoelectronic Applications

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Study and optimization of new nanoscale materials useful for optoelectronic application have been considered. The search for the effective nanostructured materials has been revealed in two directions: to optimize the mechanical hardness of the inorganic systems and to increase the photorefractive parameters of the organics with nanoobjects. It has been testified that the surface mechanical properties of the inorganic materials via nanotubes treatment process can be drastically improved. For example, the surface mechanical hardness of the UV and IR range soft materials can be increased up to 3–10 times under the conditions of oriented nanotubes placement. It has been obtained that the nonlinear optical characteristics (nonlinear refraction \(n^2\) and cubic nonlinearity \(\chi^{(3)}\)) of the organics thin films sensitized with fullerenes or nanotubes can be increased up to 3–4 orders of magnitude in comparison with the same parameters for bulk materials traditionally used for nonlinear optics.

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1. Introduction

It is well known that fullerenes and carbon nanotubes can be considered as the good candidates to improve the structural, mechanical, spectral, optics and nonlinear optics features of the different inorganic and organic materials operated in the UV, VIS and IR spectral ranges \([1–3]\). These nanoobjects have unique energy levels, high value of electron affinity energy, and strong hardness of their C–C bonds. Moreover, the carbon nanotubes imaginary part of the dielectric constant is close to zero in the near and middle infrared spectral range that permits to save or improve the spectral properties of the matrix materials after nanoobjects treatment.

In the current paper some way to improve or optimize the mechanical and photorefractive properties of the inorganic and organic materials via fullerene or nanotubes treatment have been shown.

2. Experimental conditions

Some materials of the UV and IR spectral range, such as MgF\(_2\), as well as semiconducting structures and metals have been chosen as inorganic matrixes. The single wall carbon nanotubes have been used as promising nanoobjects. These nanotubes have been placed on the material surface using IR CO\(_2\)-laser with \(p\)-polarized irradiation at wavelength of 10.6 \(\mu\)m and power of 30 W. Moreover, when nanotubes have been placed at the materials interface, the electric field close to 100–200 V cm\(^{-1}\) has been applied in order to orient the nanotubes during the deposition. It should be mentioned, that some structures have been additionally treated with surface electromagnetic waves (SEW) in order to obtain homogeneous surface with smallest roughness. The spectral characteristics of the nanotubes-treated materials have been tested using Perkin–Elmer Lambda 9 instrument. Surface mechanical hardness has been revealed using the CM-55 instrument, when the test has been made applying the silicon glass K8 as etalon. This etalon permits to obtain abrasive hardness close to zero at 3000 cycle with forces on indenter close to 100 g.

The thin films of some conjugated polymers doped with fullerenes or nanotubes have been used as organics matrixes. The concentrations of fullerene sensitizers have been varied from 0.05 to 1.0 wt.% relative to the photosensitive organic component. The nanotubes concentration has been close to 0.05–0.1 wt.% The film thickness has been varied within 1–4 micrometers. The photorefractive characteristics have been studied using four-wave mixing technique. The second harmonic of nanosecond pulsed Nd-laser at wavelength of 532 nm has been used. The energy density has been chosen in the range of 0.1–0.9 J cm\(^{-2}\). The amplitude-phase thin gratings have been recorded under Raman–Nath diffraction conditions at spatial frequency of 90 and 150 mm\(^{-1}\).

3. Results and discussion

3.1. Direction 1

Search for the new nanostructures operated in the broad spectral range, including deep UV and middle IR, and having good mechanical and laser properties is the

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complex task. Many scientific and technological groups have made some steps to reveal the improved characteristics of such type of the materials. Our own steps in this direction have been firstly shown in paper [4].

**TABLE I**

Surface mechanical hardness of some materials after nanotubes deposition.

<table>
<thead>
<tr>
<th>Structures</th>
<th>Abrasive surface hardness (number of cycles before visualization of the powder from surface)</th>
<th>Remarks</th>
</tr>
</thead>
<tbody>
<tr>
<td>Pure organic glasses</td>
<td>200–400 cycles</td>
<td>CM-55 instrument has been used. The test has been made using silicon glass K8 as etalon. This etalon permits to obtain abrasive hardness close to zero at 3000 cycle with forces on indenter close to 100 g.</td>
</tr>
<tr>
<td>organic glasses + nanotubes</td>
<td>1500–3000 cycles</td>
<td></td>
</tr>
<tr>
<td>MgF₂</td>
<td>1000 cycles</td>
<td></td>
</tr>
<tr>
<td>MgF₂ + nanotubes</td>
<td>3000 cycles</td>
<td></td>
</tr>
<tr>
<td>ZnSe + nanotubes</td>
<td>2500 cycles</td>
<td></td>
</tr>
</tbody>
</table>

**TABLE II**

AFM results to estimate the roughness of nanostructured MgF₂.

<table>
<thead>
<tr>
<th>Parameters</th>
<th>Materials</th>
<th>Roughness before nanotreatment</th>
<th>Roughness after nanotreatment</th>
<th>Remarks</th>
</tr>
</thead>
<tbody>
<tr>
<td>$R_a$</td>
<td>MgF₂</td>
<td>6.2</td>
<td>2.7</td>
<td>The area of $5000 \times 5000$ nm has been studied via AFM method</td>
</tr>
<tr>
<td>Si</td>
<td></td>
<td>0.2</td>
<td>0.170</td>
<td>The area of $200 \times 200$ nm has been studied via AFM method</td>
</tr>
<tr>
<td>$S_q$</td>
<td>MgF₂</td>
<td>8.4</td>
<td>3.6</td>
<td>The area of $5000 \times 5000$ nm has been studied via AFM method</td>
</tr>
<tr>
<td>Si</td>
<td></td>
<td>0.25</td>
<td>0.22</td>
<td>The area of $200 \times 200$ nm has been studied via AFM method</td>
</tr>
</tbody>
</table>

It should be noticed that magnesium fluoride has been considered as a good model system. For this structure the spectral characteristics, atomic force microscopy data, measurements to estimate the hardness and roughness have been found in good connection. The main aspect has been made on interaction between nanotubes (their C–C bonds) placed at the MgF₂ surface via covalent bonding [5]. Table I presents the results of surface mechanical hardness of MgF₂ structure after nanotubes placement; Table II shows the decrease of MgF₂ roughness. $R_a$ is the parameter of roughness, which corresponds to the relations between the number of ridges (baffle) above and under of the middle level of surface. $S_q$ is the root-mean-square roughness. One can see from Table I that the nanostructured samples reveal the better surface hardness. For example, after nanotubes placement at the MgF₂ surface, the surface hardness has been better up to 3 times in comparison with sample without nanoobjects. It should be noticed that for the organic glasses this parameter can be increased up to one order of magnitude. Moreover, the roughness of the MgF₂ covered with nanotubes and treated with SEW has been improved essentially. Really, $R_a$ and $S_q$ roughness characteristics have been decreased up to three times. One can see from Table II that the deposition of the oriented nanotubes on the materials surface and SEW treatment decreases the roughness dramatically. Indeed this process is connected with the nature of the pure materials; it depends on the crystalline axis and the defects in the volume of the materials.

In order to explain observed increase of mechanical hardness we compared the forces and energy to bend and to remove the nanotubes, which can be connected with magnesium fluoride via covalent bond MgC. Thus, the full energy responsible for destruction of the surface with nanotubes should be equal to the sum of $W_{rem}$ (energy to
remove the layer of nanotubes) and of \( W_{\text{destr}} \) (energy to destroy the magnesium fluoride surface). Due to the experimental fact that nanotubes covering increases drastically the surface hardness of MgF\(_2\) [5], the values of \( W_{\text{rem}} \) and \( W_{\text{destr}} \) can be close to each other. Under the conditions of the applied forces parallel to the surface, firstly, one should bend these nanotubes, and secondly, remove these nanotubes. In this case \( W_{\text{rem}} \) consists of \( W_{\text{elast}} \) (elasticity energy of nanotube) plus \( W_{\text{MgC}} \) (energy to destroy the covalent MgC binding). The energy of elasticity can be estimated as follow:

\[
W_{\text{elast}} = \frac{F_{\text{rem}}^2 L^3}{6EI}
\]

where \( E = 1.5 \) TP [3, 6] is the modulus of elasticity, \( I = \pi r^3 \Delta r \) — is the moment of the nanotube cross section at its wall thickness \( \Delta r = 0.34 \) nm, \( r = 4 \) nm; and \( L = 50 \) nm is the nanotube length. The force \( F_{\text{rem}} \) can be estimated as follows:

\[
F_{\text{rem}} = \frac{F_{\text{MgC}} 2r}{L},
\]

where \( F_{\text{MgC}} \) is close to \( 2 \) \( nN \).

Based on our calculation we might say that in order to remove the nanotubes from MgF\(_2\) surface, we should firstly bend the nanotubes with energy that is 5 times more than the one, which can be applied to simply remove the nanotubes from surface after the destroying MgC binding. This fact is in good connection with the experimental results.

This calculation can be used to explain the results of dramatically increased mechanical surface hardness of the MgF\(_2\) covered with nanotubes. The experimental data testified that the surface mechanical hardness of MgF\(_2\) materials covered with nanotubes can be compared with the hardness of etalon based on silicon glass K8.

As a result of this process, the refractive index can be modified which explains the increase in transparency in the UV. Moreover, the spectral range saving or increasing in the IR range can be explained basing on the fact that the imaginary part of dielectric constant of carbon nanotubes, which is responsible for the absorption of the nanoobjects, is minimum (close to zero) in the IR range. The UV-VIS and near IR-spectra of the magnesium fluoride is shown in Fig. 1. It should be noticed that the drastic increase in the transparency at wavelength of 126 nm has been observed.

Really, for the 5 units of sample of MgF\(_2\), the transmittance \( T \) has been increased after nanotubes deposition as arrow indicates: sample No 1. \( T = 61.8\% \rightarrow T = 66.6\% \)
No 2. \( T = 63.6\% \rightarrow T = 69\% \);
No 3. \( T = 54.5\% \rightarrow T = 65.8\% \);
No 4. \( T = 58.1\% \rightarrow T = 67.5\% \);
No 5. \( T = 50.9\% \rightarrow T = 65\% \).

3.2. Direction 2.

Table III presents the comparative data [7–8] of laser-induced changes in the refractive index of organics based on polyimides (PIs), \( N\)-(4-nitrophenyl)-(L)-prolinol, 2-(N-prolinol)-5-nitropyridine compounds for the previous experiments and the current ones. Laser-induced change in the refractive index \( \Delta n_t \) has been calculated via Eq. (3.1). It has been based on measurement of the diffraction efficiency \( \eta \) and calculation of \( \Delta n_t \) via [9]:

\[
\eta = \frac{I_1}{I_0} = \left(\frac{n}{\Delta n_t d/2\lambda}\right)^2
\]

where \( I_0 \) and \( I_1 \) — are intensities in the zero and in the first diffraction orders, \( d \) — is the thickness of the media, and \( \lambda \) — is the wavelength of the laser irradiation. Figure 2 demonstrates the distribution of the diffraction orders in the focal plate of the lens behind the organic thin film with nanoobjects.

Fig. 1. UV-near IR spectra of MgF2 after single wall nanotubes (SWNT) deposition and after surface electromagnetic wave (SEW) treatment.

As a result of this process, the refractive index can be modified which explains the increase in transparency
This fact can be explained by the additional mechanisms involved in photorefractive processes and connected with the charge carrier moving of odd nanotubes electrons in comparison with fullerenes ones. Really, we should take into account at least 7 ways for the charge carrier moving: 1 — along the nanotubes, 2 — across the nanotubes, 3 — between the nanotubes, 4 — inside the nanotubes if they are the multiwall ones, 5 and 6 — between the organic conjugated molecules and nanotubes under the conditions of different relation of electron affinity energy of the organic molecules and nanotubes, 7 — inside the organic molecules, when the donor-acceptor interaction have been revealed. The possible scheme of this interaction is shown in Fig. 3. It should be noticed that the photoconductive parameters of the PI and other studied systems with fullerenes and nanotubes have been correlated with the nonlinear optical ones. It should be noticed that the increase in the dark photocurrent, for example PI, with concentration of the nanotubes close to 0.07 wt.%, has been larger than that studied before for the pure PI or PI with fullerenes. The value of the dark current for the pure PI film is close to 10^{-13} A. Thus, more than 10^4—10^5 times increase in the current has been found for the PI structures doped with nanotubes at the same level of bias voltage. A little bit larger value of the current has been revealed for the PI with 0.1 wt.% of the nanotubes. It should be mentioned, that current-voltage dependences have been connected with the laser induced change in the refractive index for the PI systems doped with nanotubes.

Based on the value of $\Delta n$ and using procedure from paper [10], the nonlinear refraction $n_2$ and third order nonlinearity (cubic nonlinearity $\chi^{(3)}$) have been found. The data show that these nonlinear estimated parameters are close to $10^{-10}$—$10^{-9}$ cm$^2$ W$^{-1}$ and $10^{-10}$—$10^{-9}$ esu, respectively. It should be noticed, that in the current experiments using four-wave mixing technique, the nonlinear refraction coefficient and cubic nonlinearity have been estimated via Eqs. (3.4) and (3.5):

$$n_2 = \frac{\Delta n_i}{I}$$  (3.4)

$$\chi^{(3)} = n_2 n_0 c/16\pi^2,$$  (3.5)

where $I$ — is the irradiation intensity, $n_0$ — is the linear

Comparative data of laser-induced change in the refractive index.

<table>
<thead>
<tr>
<th>Structure studied at the spatial frequency</th>
<th>Nano-objects content [wt.%]</th>
<th>Wavelength, [nm]</th>
<th>Energy density, [J cm$^{-2}$]</th>
<th>Spatial frequency, [mm$^{-1}$]</th>
<th>Laser pulse width, [ns]</th>
<th>Laser-induced change in the refractive index, $\Delta n$</th>
<th>References</th>
</tr>
</thead>
<tbody>
<tr>
<td>Pure polyimide</td>
<td>0</td>
<td>532</td>
<td>0.6</td>
<td>90</td>
<td>20</td>
<td>$10^{-4}$—$10^{-5}$</td>
<td>[7]</td>
</tr>
<tr>
<td>Polyimide + malachite green</td>
<td>0.2</td>
<td>532</td>
<td>0.5—0.6</td>
<td>90—100</td>
<td>10—20</td>
<td>$2.87 \times 10^{-4}$</td>
<td>[8]</td>
</tr>
<tr>
<td>Polyimide + C$_{60}$</td>
<td>0.2</td>
<td>532</td>
<td>0.5—0.6</td>
<td>90</td>
<td>10—20</td>
<td>$4.2 \times 10^{-3}$</td>
<td>[7]</td>
</tr>
<tr>
<td>Polyimide + C$_{70}$</td>
<td>0.2</td>
<td>532</td>
<td>0.6</td>
<td>90</td>
<td>10—20</td>
<td>$4.68 \times 10^{-3}$</td>
<td>[7]</td>
</tr>
<tr>
<td>Polyimide + nanotubes</td>
<td>0.1</td>
<td>532</td>
<td>0.5—0.8</td>
<td>90</td>
<td>10—20</td>
<td>$5.7 \times 10^{-3}$</td>
<td>[7]</td>
</tr>
<tr>
<td>Polyimide + nanotubes</td>
<td>0.05</td>
<td>532</td>
<td>0.3</td>
<td>150</td>
<td>10</td>
<td>$4.5 \times 10^{-3}$</td>
<td>Current</td>
</tr>
<tr>
<td>Polyimide + nanotubes</td>
<td>0.07</td>
<td>532</td>
<td>0.3</td>
<td>150</td>
<td>10</td>
<td>$5.0 \times 10^{-3}$</td>
<td>Current</td>
</tr>
<tr>
<td>Polyimide + nanotubes</td>
<td>0.1</td>
<td>532</td>
<td>0.3</td>
<td>150</td>
<td>10</td>
<td>$5.5 \times 10^{-3}$</td>
<td>Current</td>
</tr>
<tr>
<td>N-(4-nitrophenyl)-(L)-prolinol + C$_{60}$</td>
<td>1</td>
<td>532</td>
<td>0.3</td>
<td>100</td>
<td>10—20</td>
<td>$1.65 \times 10^{-3}$</td>
<td>Current</td>
</tr>
<tr>
<td>2-(N-prolinol)-5-nitropyridine + C$_{60}$</td>
<td>1</td>
<td>532</td>
<td>0.3</td>
<td>100</td>
<td>10—20</td>
<td>$0.8 \times 10^{-3}$</td>
<td>Current</td>
</tr>
</tbody>
</table>
refractive index of the media, \( c \) — is the speed of the light.

One can see that nonlinear optical parameters of the nanoobjects-doped conjugated structures are larger than those obtained for traditional nonlinear systems, including inorganic balk \( \text{LiNbO}_3 \) crystal, that permits to apply these materials as effective holographic recording element in passive and active modes, as efficient 3D-media for spatial light modulator and telecommunications schemes, as switchers and as nonlinear absorbers in the visible and in the near-infrared spectral ranges.

4. Conclusion

In conclusion, the influence of the nanoobjects based on fullerenes and carbon nanotubes on mechanical, spectral, photoconductive, and nonlinear optical features have been shown. The dramatic increase of surface mechanical hardness has been observed via nanotubes placement under condition of spectral range saving or improvement. Based on four-wave mixing technique the comparative studies of the nonlinear refraction and cubic nonlinearities have been found for the pure organics films and fullerene and nanotubes doped ones. As the result of this investigation, new area of applications of the treated materials can be found in the optoelectronics and laser optics, for example, for development of transparent UV and IR window, for gas storage and solar energy accumulation, as well as in nonlinear optical field and in search for 3D-media.

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