

Dispersion Law with a Low-Energy Non-Parabolicity for the Charge Carriers in the In_4Se_3 Crystal and Related Effects

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The influence of parameters of the dispersion law exhibiting a low-energy non-parabolicity for the In_4Se_3 crystal on the plasma-electric effect occurring in the non-equilibrium plasma of this semiconductor was investigated under the circumstances that a longitudinal plasma wave propagates in its non-equilibrium plasma.

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1. Introduction

Peculiarities of the dispersion laws for charge carriers are well noticeable in strong electric fields [1]. Such peculiarities can be expected for low-symmetry semiconductors with a great number of atoms in the unit cell. In particular, a new type of the dispersion law with a low-energy non-parabolicity has been obtained for a layered In_4Se_3 crystal (D_{2h}^{12} , $Pnmm$) [2–5], based upon band structure calculations

$$E(k_i) = A + B_i k_i^2 + C_i k_i^4, \quad i = x, y, z, \quad (1)$$

where $|C_i| \gg |B_i|$ and they have opposite sign. It was shown that one can influence their values by applying an external pressure and by doping [4, 6]. The dispersion law (1) is not in contradiction with the symmetry principles of its formation for the one-dimensional representation that describes the upper state of the valence band in the Γ point. Due to the presence of the symmetry center in this crystal, taking into account the spin and spin-orbit interaction cannot change the functional dependence of this dispersion law. It was used for an explanation of some peculiarities of the kinetic properties in this crystal [7]. The existence of the condensation states owing to the electron-phonon interaction [3, 5] is also related to this dispersion law. Experimental investigations of the photoemission spectrum of the In_4Se_3 crystal [8] have confirmed the unusual dispersion law present for its charge carriers.

2. Plasma-electric effect in In_4Se_3

We discuss in this paper the plasma-electric effect at the presence of a strong electric field applied to the In_4Se_3 crystal. We will show that this effect is analogous to that of Te crystal whose dispersion law for holes $E(\mathbf{k}) = Ak^2 + B_z k_z^2 \pm \sqrt{S^2 k_z^2 + \Delta^2}$ [9, 10] is due to

spin-orbit interaction and whose shape of the two shifted minima is similar to (1). As is known [11], electrons and holes in a semiconductor create a non-equilibrium plasma when the semiconductor is in a strong (heating) electric field. The plasma vibrations arise in this non-equilibrium plasma. When a travelling wave (sound, electromagnetic) propagates in plasma it drags charge carriers which leads to the additional constant electric current. This phenomenon is called the plasma-electric effect [12]. The amplitude and frequency of plasma vibrations depend essentially on the dispersion law of charge carriers and they influence the electric current caused by the plasma-electric effect, as was shown for the Te crystal [13, 14]. Let the longitudinal electromagnetic wave $\mathbf{E}(\mathbf{r}, t) = \mathbf{E}_0 \cos(\omega_0 t - \mathbf{k}_0 \mathbf{r})$, characteristic for plasma, propagates through a non-equilibrium plasma of the layered In_4Se_3 crystal. The state of plasma is described by the non-equilibrium distribution function

$$f(\mathbf{p}, \mathbf{r}, t) = f(\mathbf{p}) + \varphi_1(\mathbf{p}, \mathbf{r}, t) + \varphi_2(\mathbf{p}, \mathbf{r}, t), \quad (2)$$

where $f(\mathbf{p}) = f_0^e(\mathbf{p}) + f_1(\mathbf{p})$, $f_0^e(\mathbf{p})$ is the Boltzmann distribution function that defines the equilibrium state of the non-equilibrium plasma of the electron temperature T_e , $f_1(\mathbf{p})$ is a non-equilibrium correction, connected with the presence of the external electric field and which is expressed by the equilibrium Boltzmann distribution function at the temperature T_l of the crystal lattice: $f_1(\mathbf{p}) = f_0^l(\mathbf{p}) \Phi(\mathbf{p})$. $\Phi(\mathbf{p})$, in turn, can be presented as a series with respect to a small parameter ε , $\Phi(\mathbf{p}) = \sum_{n,\alpha} c_n^{(\alpha)} p_\alpha \varepsilon^n$, $\alpha = x, y, z$, taking into account first terms $n = 0, 1$. $c_n^{(\alpha)}$ are variational parameters that depend on the intensity \mathbf{F} of the external field [13, 14]. In the case of the dispersion law (1), a small parameter ε expresses a deviation of this law from the biquadratic dependence with respect to wave vector components, at small values of \mathbf{k} . $\varphi_1(\mathbf{p}, \mathbf{r}, t)$ and $\varphi_2(\mathbf{p}, \mathbf{r}, t)$ in Eq. (2)

are two corrections of the first and second order, respectively. The function (2) satisfies the Boltzmann kinetic equation

$$\left[\frac{\partial}{\partial t} + \mathbf{v} \frac{\partial}{\partial \mathbf{r}} + e_0 \mathbf{F} \frac{\partial}{\partial \mathbf{p}} + e_0 \mathbf{E}(\mathbf{r}, t) \frac{\partial}{\partial \mathbf{p}} - \hat{\nu}(\mathbf{p}) \right] \times f(\mathbf{p}, \mathbf{r}, t) = 0, \quad (3)$$

where $\hat{\nu}(\mathbf{p})$ is a collision operator. Fourier components of the current related to the function $\varphi_2(\mathbf{p}, \mathbf{r}, t)$ are given by the relation

$$I_\alpha(\mathbf{k}, \omega) = e_0 \int \frac{\partial \varepsilon}{\partial p_\alpha} \varphi_2(\mathbf{p}, \mathbf{k}, \omega) d\mathbf{p}. \quad (4)$$

Applying the subsequent approximation procedure [13, 14] we express $\varphi_1(\mathbf{p}, \mathbf{r}, t)$ and $\varphi_2(\mathbf{p}, \mathbf{r}, t)$ corrections by $f(\mathbf{p})$. Based upon Eq. (4) the following expression for the Fourier current component is obtained:

$$\begin{aligned} & \overline{I_\alpha(\mathbf{k}, \omega)} \\ &= \frac{e_0^3}{2\omega_0^2} \int \frac{\partial \varepsilon}{\partial p_\alpha} \left\{ \left[\left(\mathbf{E}_0 \frac{\partial}{\partial \mathbf{p}} \right) + \frac{1}{\nu(\mathbf{p})} \left(\mathbf{E}_0 \frac{\partial \nu(\mathbf{p})}{\partial \mathbf{p}} \right) \right] \right. \\ & \times \left. \left[\left(1 + \frac{2\mathbf{k}_0 \mathbf{v}}{\omega_0} \right) \left(\mathbf{E}_0 \frac{\partial}{\partial \mathbf{p}} \right) \right] \right\} f(\mathbf{p}) d\mathbf{p} \end{aligned} \quad (5)$$

under conditions that $e_0 \mathbf{F} / \bar{p} \nu < 1$ (\bar{p} is the average momentum, $\nu(\mathbf{p})$ is the effective collision frequency), $\frac{k_0 \bar{v}}{\omega_0} < 1$ (\bar{v} is the average thermal velocity of carriers) which testifies to a small spatial dispersion, and under condition that a longitudinal wave exists $\nu / \omega_0 < 1$, where ν is a collision frequency [15]. Substituting into (5) the dispersion law (1) with the coefficients for the In_4Se_3 crystal presented in [4] as well as the distribution function $f(\mathbf{p})$, one obtains after integration (in the case $\nu(\mathbf{p}) = \text{const}$) the following expression for the x -th component of the current:

$$\begin{aligned} I_x &= I_{x_1} + I_{x_2}, \\ I_{x_1} &= \frac{12ne_0^3 E_{0x}^2 C_x T_l Q_1}{\omega_0^2 \hbar^2 B_x} \frac{Q_1}{Q_0} \left[c_0^x + c_1^x T_l \left(1 - \frac{Q_2}{Q_1} \right) \right], \\ I_{x_2} &= \frac{12ne_0^3 k_{0x} E_{0x}^2 B_x^2}{\omega_0^3 \hbar^4} \\ & \times \left(1 - \frac{24C_x T_e Q_1}{B_x^2 Q_0} + \frac{60C_x^2 T_e^2 Q_2}{B_x^4 Q_0} \right), \end{aligned} \quad (6)$$

where $Q_0 = \int_0^\infty e^{x^2 - ax^4} dx$, $Q_1 = \int_0^\infty e^{x^2 - ax^4} x^2 dx$, $Q_2 = \int_0^\infty e^{x^2 - ax^4} x^4 dx$, $a = \frac{C_x T_e}{B_x^2}$, $c_0^x \approx c_1^x T_l \approx 2e_0 n F_x \frac{Q_1}{Q_0} (-1 + 2 \frac{C_x T_l Q_2}{B_x^2 Q_1})$. In the case of quadratic dispersion law ($C_x = 0$) $I_{x_1} = 0$. I_{x_2} describes exclusively the plasma-electric current resulting from a small spatial dispersion and it differs essentially from the corresponding one in the case of the quadratic dispersion law. It should be noted that to obtain Eq. (6) a model of the dispersion law was used that takes into account non-parabolicity in the direction k_x which corresponds to the

experimentally confirmed dispersion law of holes in the In_4Se_3 crystal [8]. The modeling of the temperature dependence of the components I_{x_1} and I_{x_2} shows that at low temperatures both of them considerably increase and then I_{x_1} drops while I_{x_2} still increases but the speed of its growth continuously slows down. The plasma-electric effect depends essentially on values of the parameters of the dispersion law (1) and it is more pronounced when the non-parabolic term is dominant already at small k_x values. When only the non-parabolic term in (1) is taken into account, as it takes place for the dispersion law of holes in a non-deformed InSe crystal [4], the intensity of the current increases linearly with C_x and T_e . The investigated plasma-electric effect in the In_4Se_3 crystal in comparison with that of Te is more enhanced, the temperature coefficient $\frac{dI_{x_2}}{dT_e}$ is greater in the low temperature range and, having positive sign, decreases slowly at higher temperatures, while for the Te crystal it tends to zero.

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